

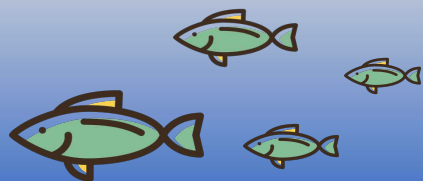
## R & D of marine biodegradable plastics with degradation initiation switch function



**PM : Ken-ichi Kasuya**  
**Gunma Univ. Prof.**

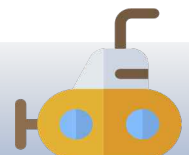
**Organization: Gunma Univ, U Tokyo, Tokyo Tech,**  
**RIKEN, JAMSTEC**

## R & D of marine biodegradable plastics with degradation initiation switch function

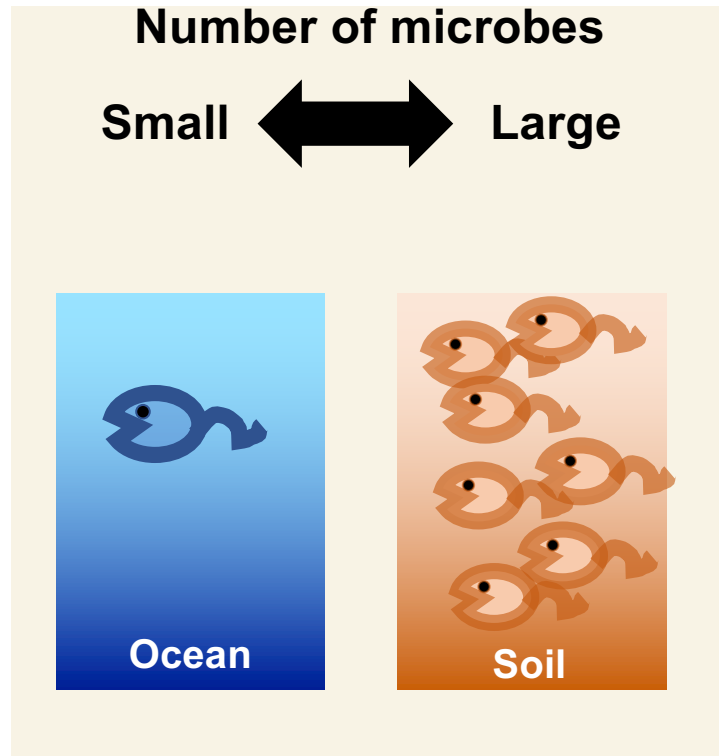


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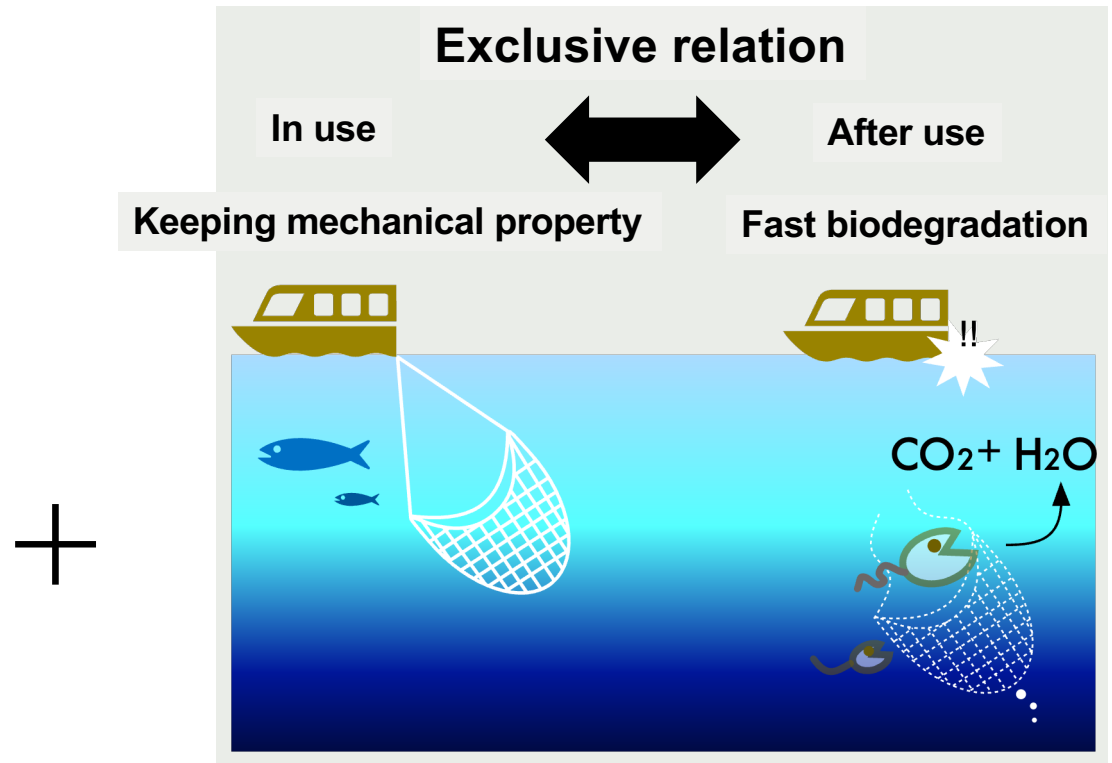
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# a. Background—Two challenges in marine biodegradable plastics

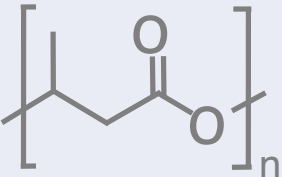
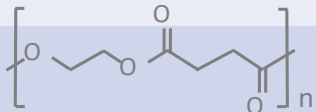
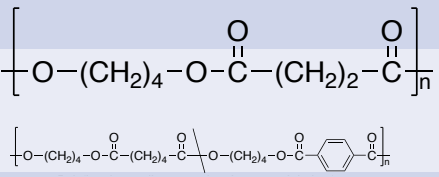

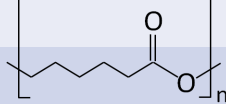
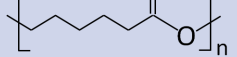


- The number of microbes in marine environment is small.
- Biodegradation of biodegradable plastics is very slow in marine environments.

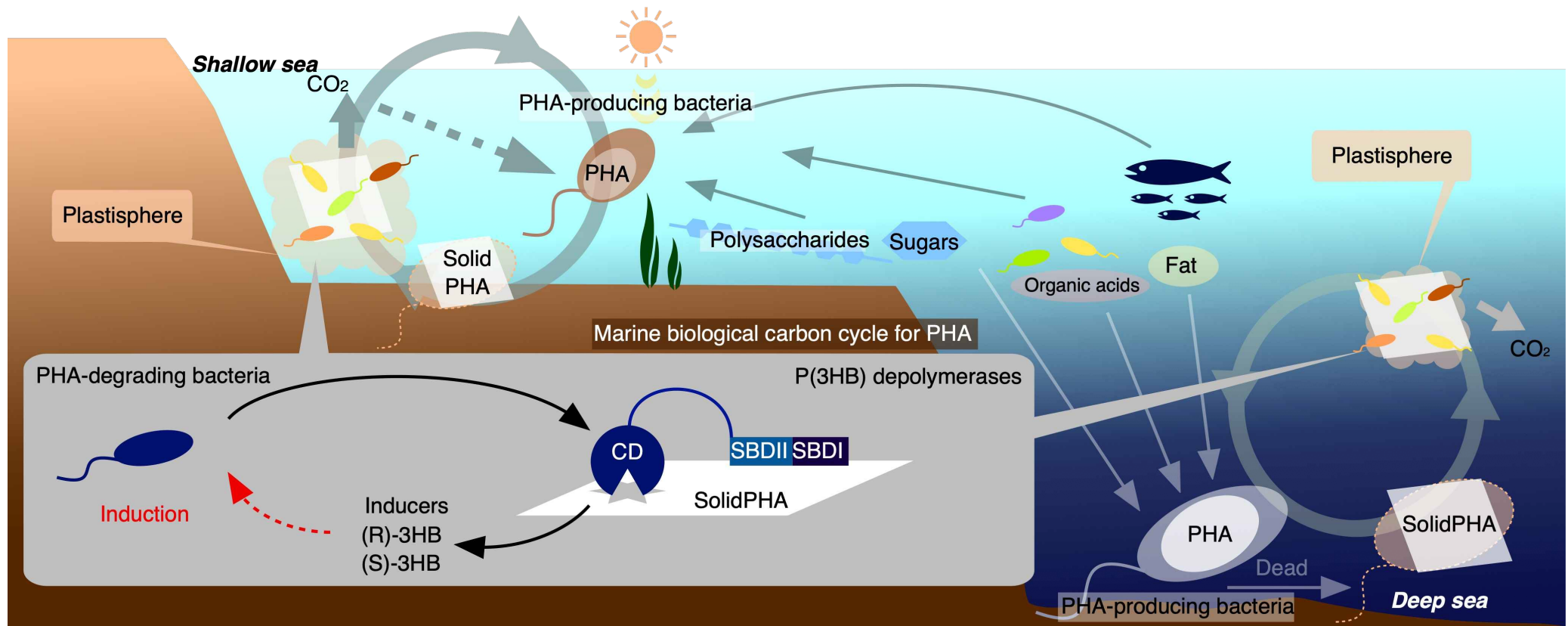


- Biodegradable plastics are gradually biodegraded during use.

# a. Background—Few marine biodegradable plastics

| Polymers  | Environmental degradability  |                   |  |
|---|--|-------------------|--|
|   | Excellent  | Depending on site | Poor                                       |
| <b>PHAs</b><br><br><b>Marine OK</b>  | Soil<br>Freshwater<br>Brackish water<br><b>Seawater</b><br>Aerobic sludge<br>Anaerobic sludge<br>Compost | -                 | -  |
| <b>PESu</b><br>                      | Soil<br>Freshwater<br>Compost<br>Activated sludge  | -                 | Seawater                                   |
| <b>PBSu</b><br>                     | Compost  | Soil              | Seawater<br>Activated sludge<br>Freshwater |
| <b>PBAT</b><br>                    | Compost  | Soil              | Freshwater<br>Seawater                     |
| <b>PLA</b><br>                     | Compost  | Soil              | Seawater                                   |
| <b>PCL</b><br><br><b>Marine OK</b> | Soil<br>Freshwater<br><b>Seawater</b><br>Compost   | -                 | -  |

# a. Background—PHAs are biodegraded in marine environments.



*Polym J* 53, 47–66 (2021)

**PHAs are produced and biodegraded in marine environments.  
Biological carbon cycles for PHA exist in the marine environment.**

# a. Background—Biodegradation mechanism and R & D strategy.

0. Intact materials

1. Deterioration

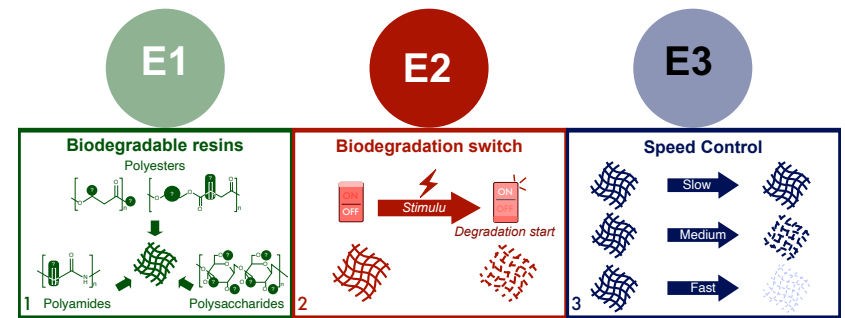
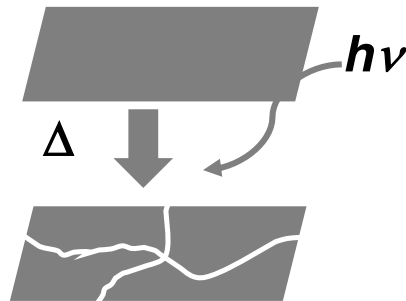
Abiotic and biotic

2. Degradation to low-molecular mass compounds

Abiotic and biotic

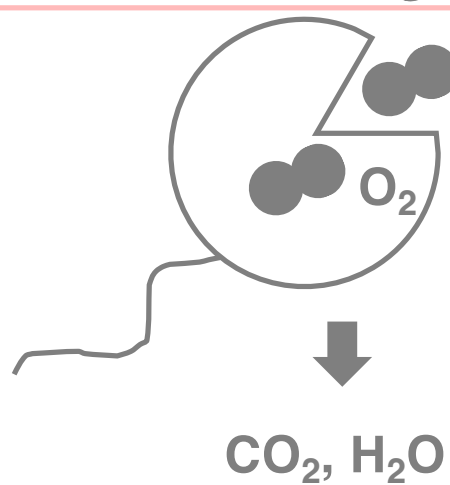
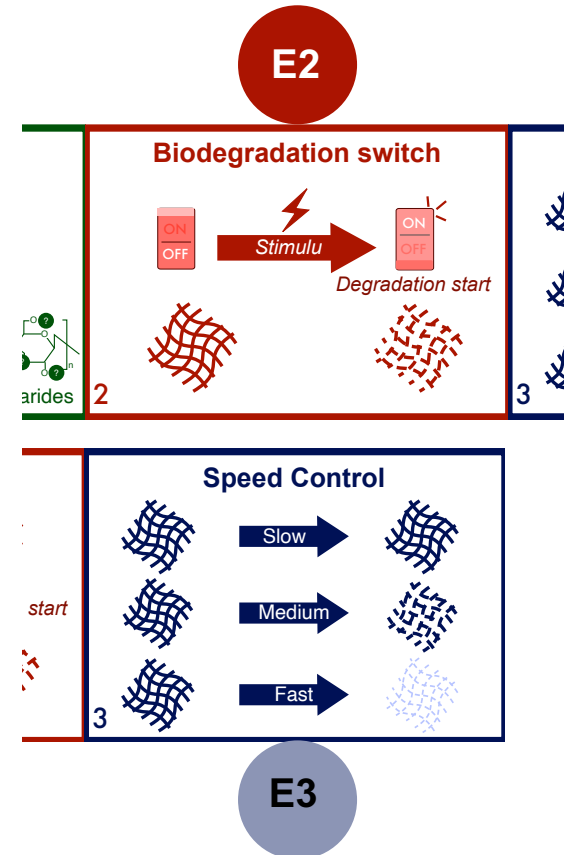
3. Mineralization

Microbial

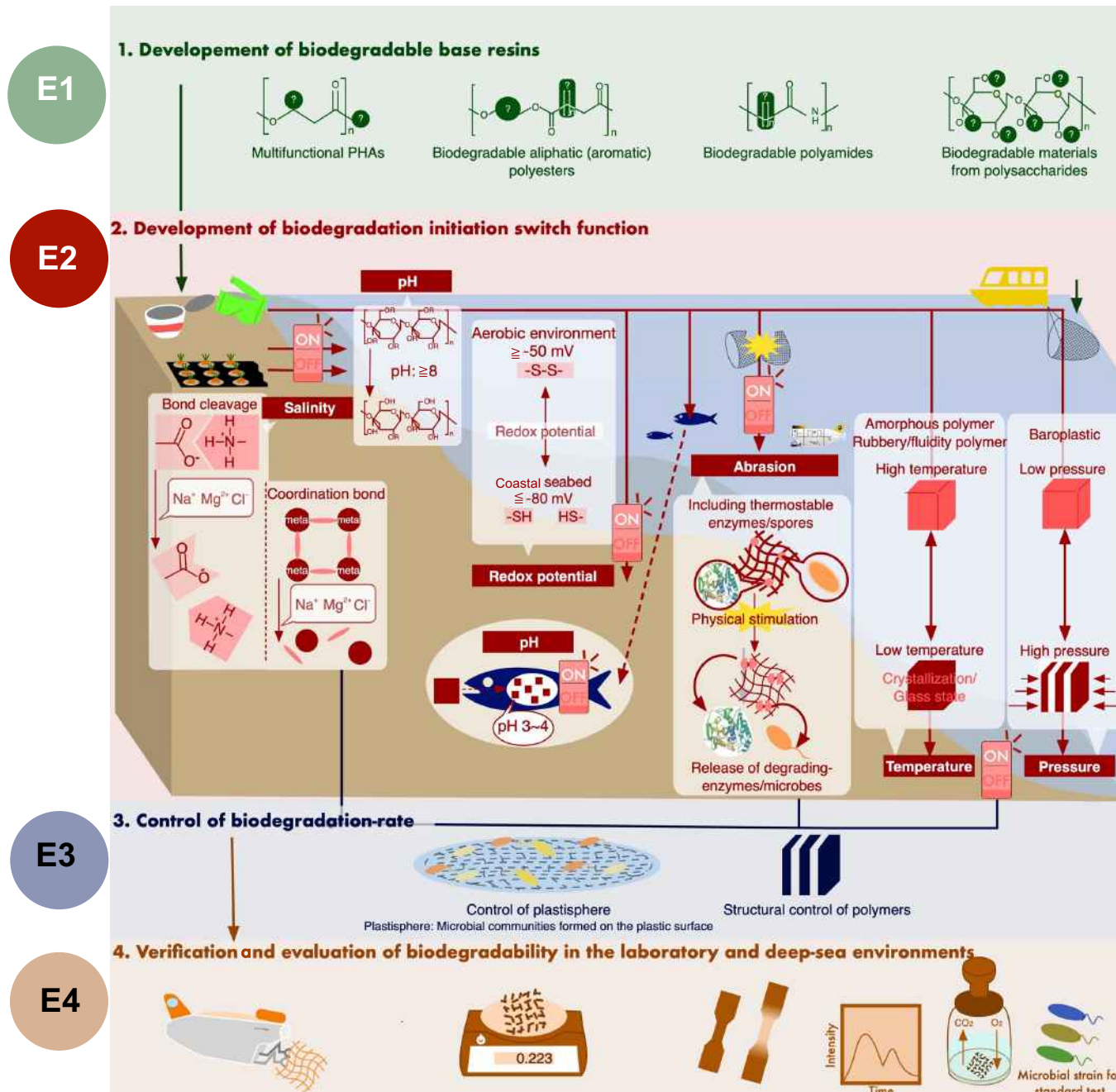


STEP1

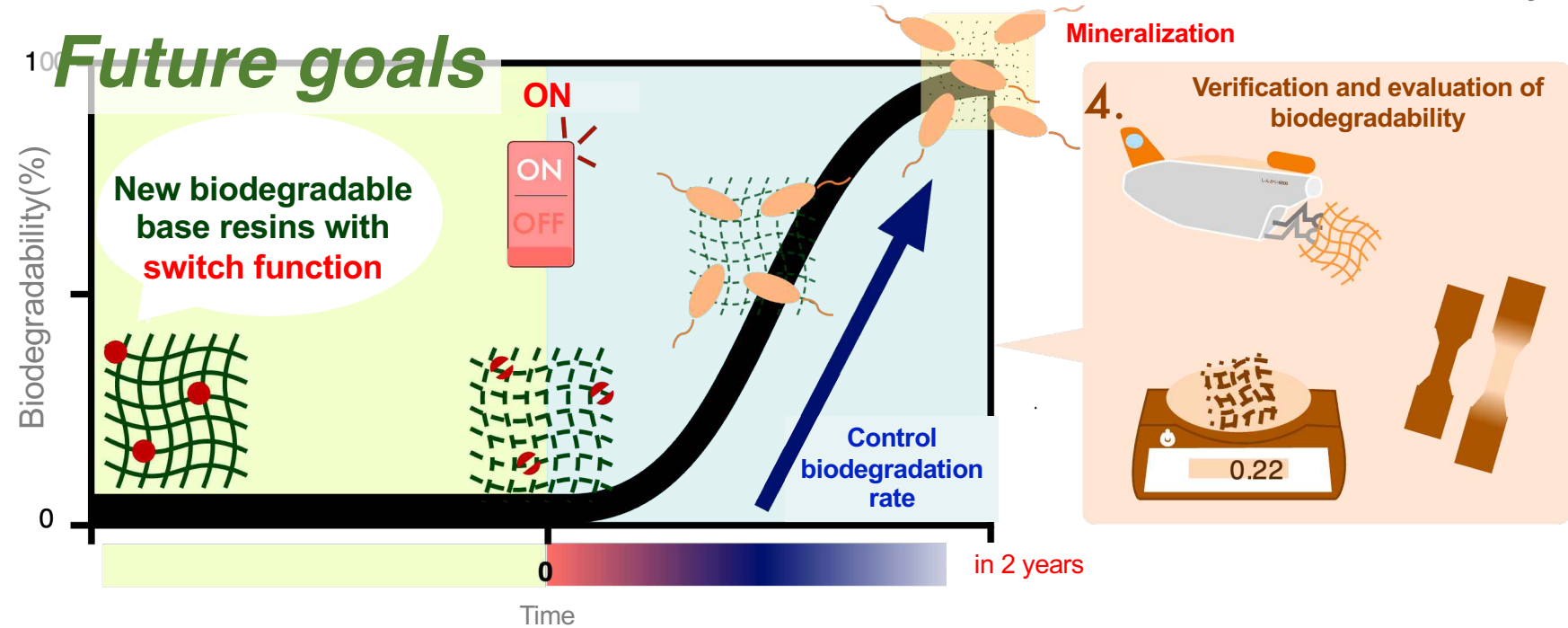
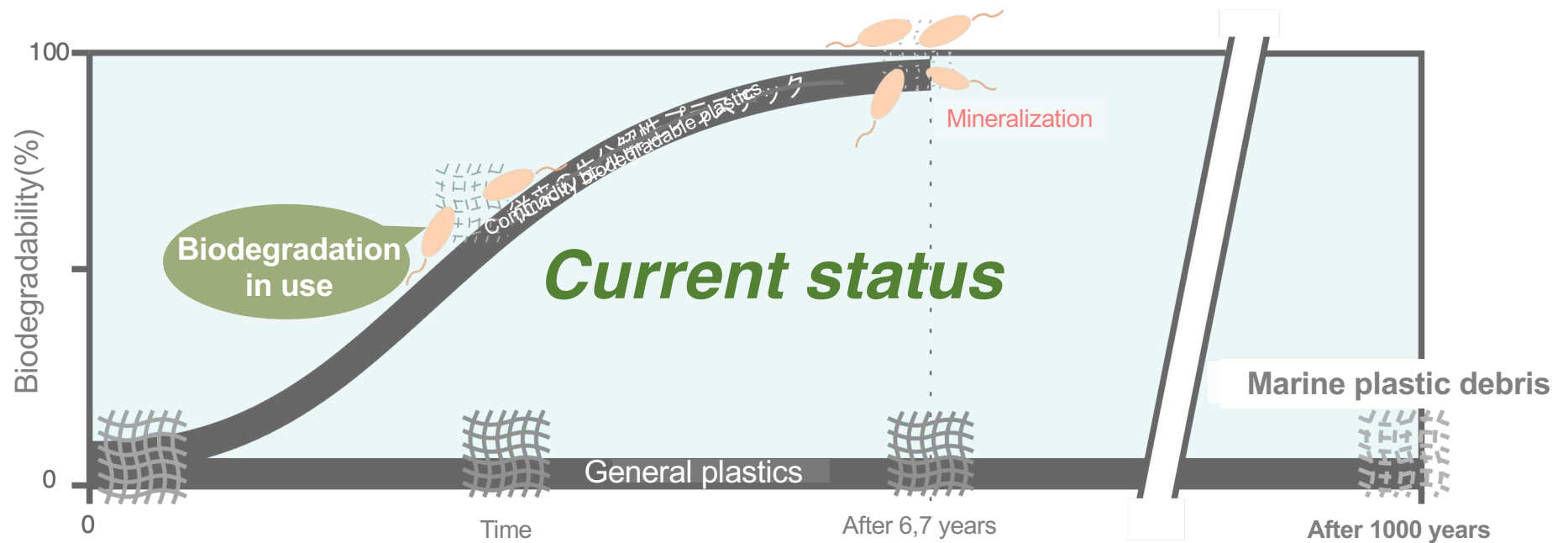
STEP2



# 1. R&D items of the project (E1, E2, E3, E4)

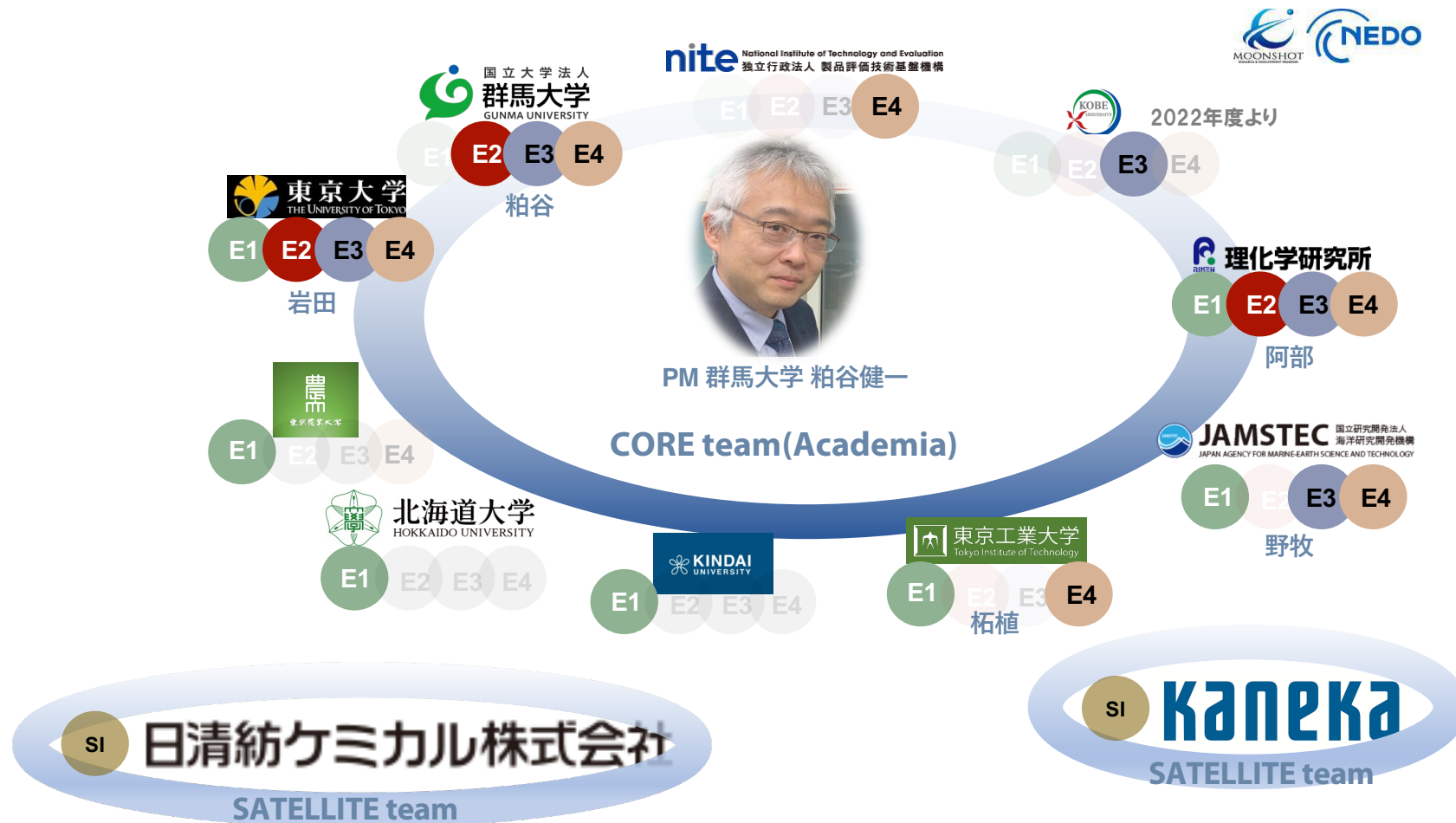


## 2. Current status and future goals





# 3. Organization of R & D



## SATELLITE teams (Companies and Academia)

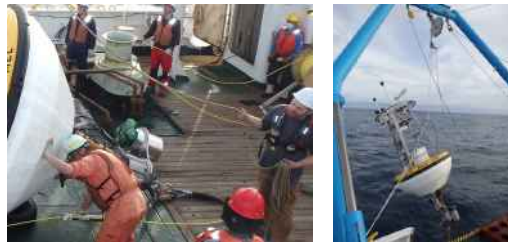
Since 2022, academic-industrial satellite teams are formed to accelerate the social implementation of outcomes developed by the core team.

PM promotes the systemization of elemental technology, reorganizes the teams, and selects the themes to maximize the outcomes.

# 4. International cooperation and science and technology dialogue with the public



We evaluated degradability of biodegradable plastics in the ocean surface layer in cooperation with the **National Oceanic and Atmospheric Administration (NOAA)**.



Buoys installed in the Great Pacific garbage patch is used as a test site for degradation of biodegradable plastics. When the buoys are replaced every year, biodegradable plastics are collected and newly installed. The degradability of biodegradable plastics in the marine surface environment is verified as part of international joint research.



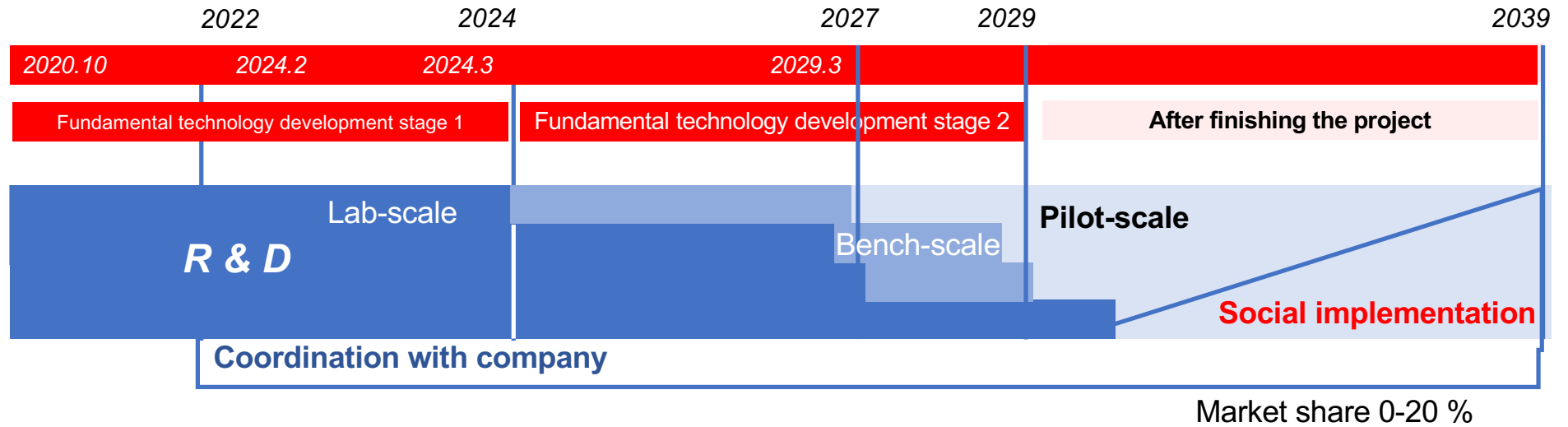
As part of GIGA School x Deep Sea, a new biodegradable material was installed 855 m off Hatsushima Island with more than 24,000 elementary school students and the Minister of MEXT via a live online broadcast.



Above: The material before and after 4.5 months in deep-sea. Right: News site reporting the results of the material developed in this project and demonstrating on-site biodegradability.

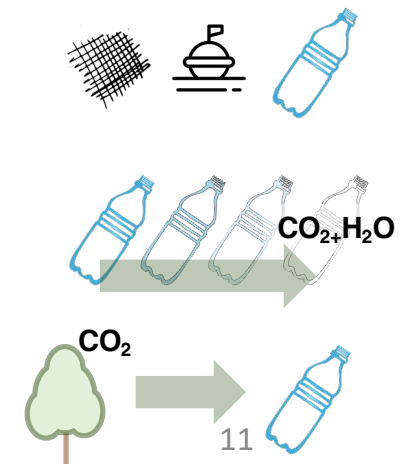


## 5. Time schedule for R & D

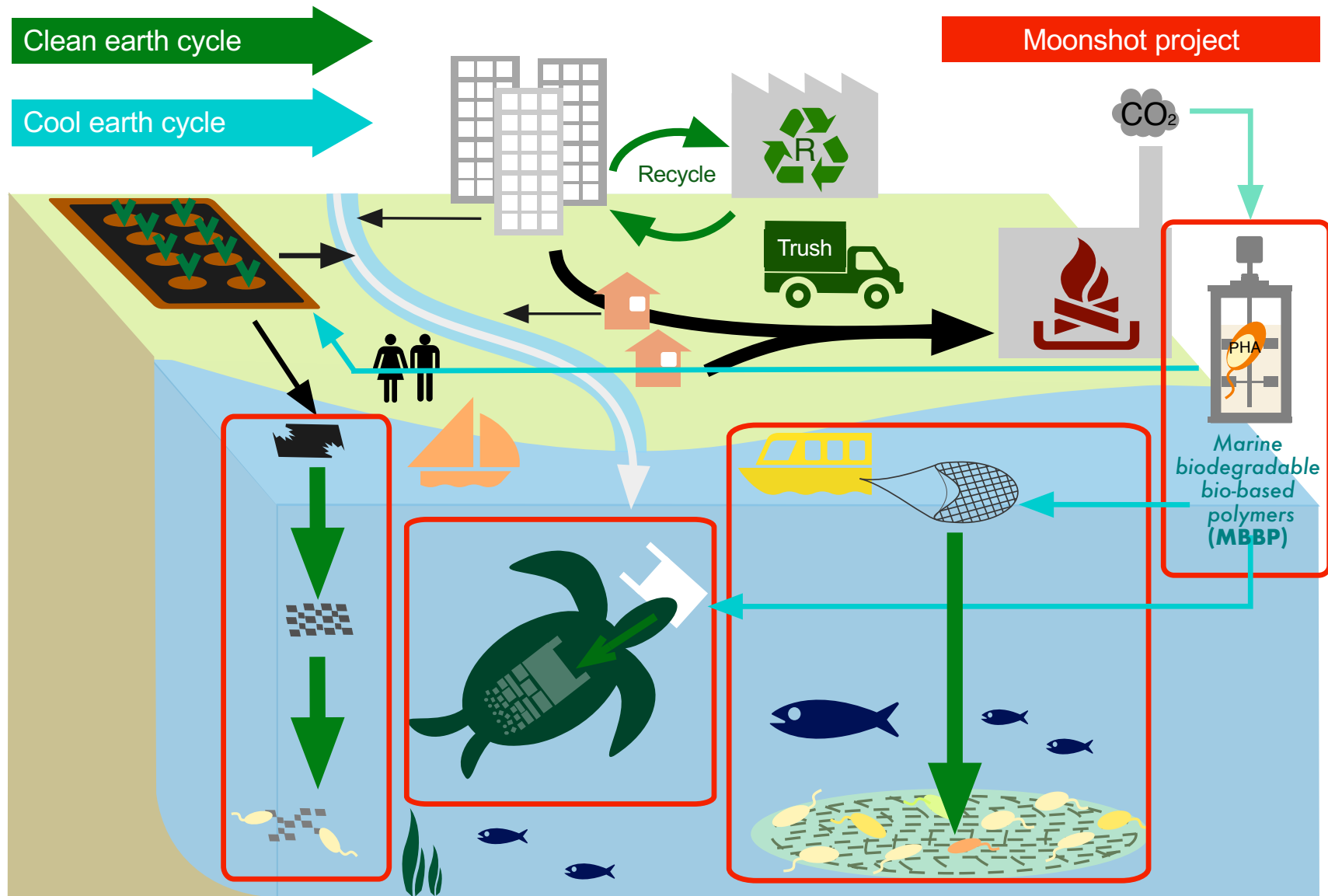


## 6. Goal of our project (2029)

- ① We create three or more new marine biodegradable plastics that exhibit 90% biodegradability in seawater at 30 °C in 6 months after the switching function exerts.
- ② We demonstrate the biodegradability of these new marine biodegradable plastics having the switching function in marine environments, including deep sea.
- ③ We create new marine biodegradable base materials made from biomass and carbon dioxide.



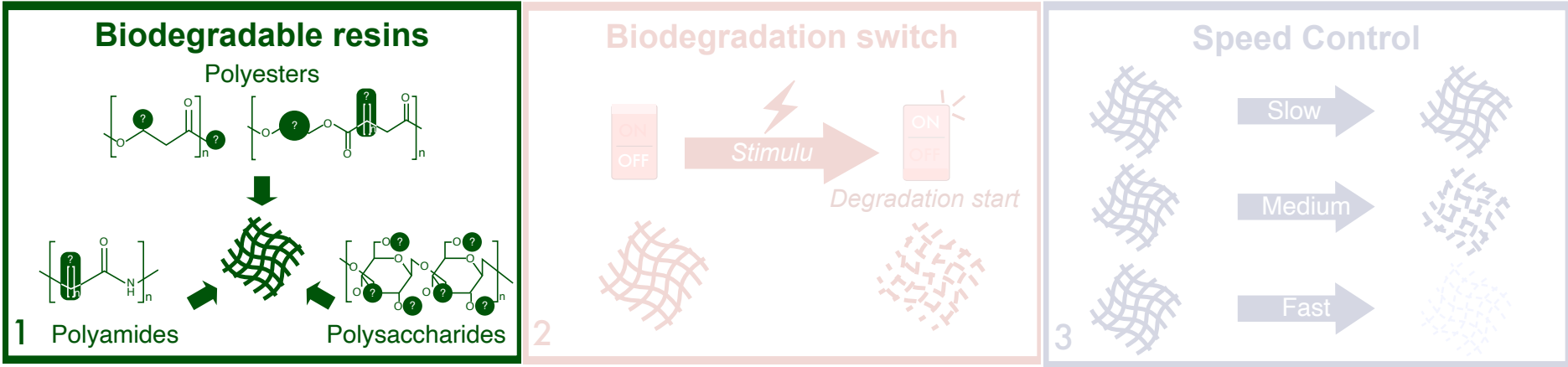
# 7. Social implementation



E1

# 8. Major results regarding ongoing topics

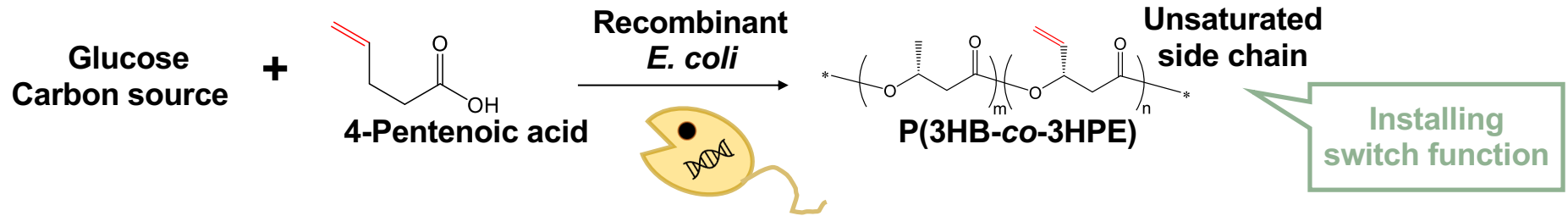
E1, R & D of marine biodegradable base resins that can introduce switch function



|  |                   |   |
|--|-------------------|---|
| E1 : R & D of marine biodegradable base resins | PHA               | Functionalization<br>Toughening<br>Fixation of carbon dioxide |
|  | Polysaccharide    | Plasticization/Biodegradability<br>Toughening                 |
|  | Synthetic polymer | Biodegradable building-block polymer                          |

E1

# Base materials for installing switching functions

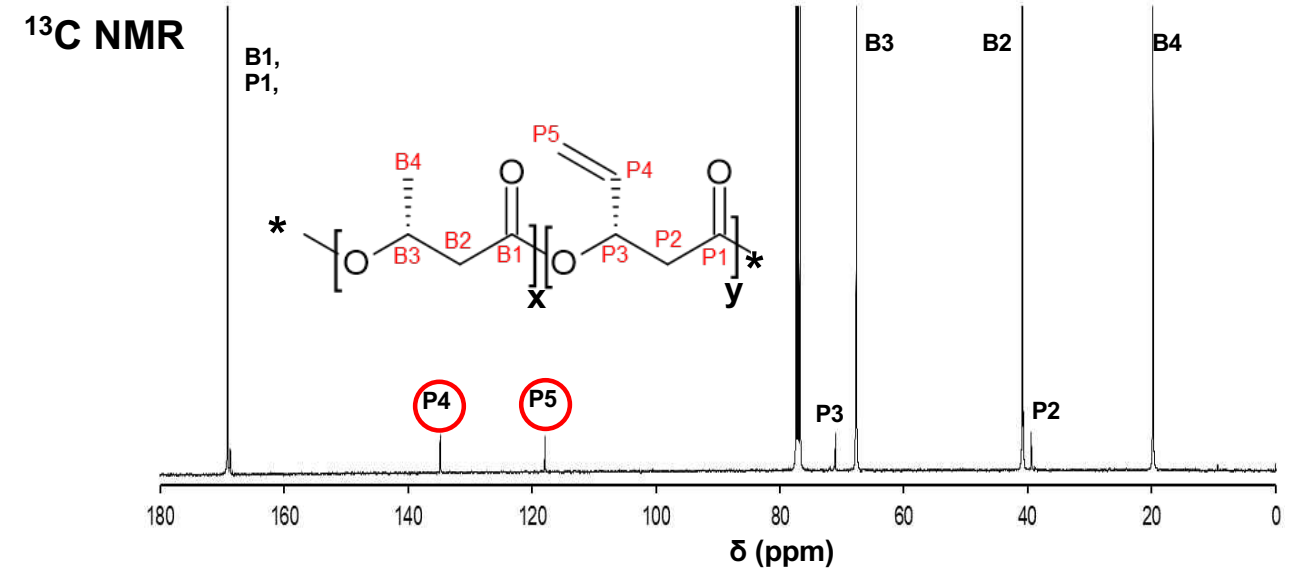


Culture Condition : LB medium + 4-Pentenoic acid + Glucose, 30°C, 72 h

Flask culture (2L)



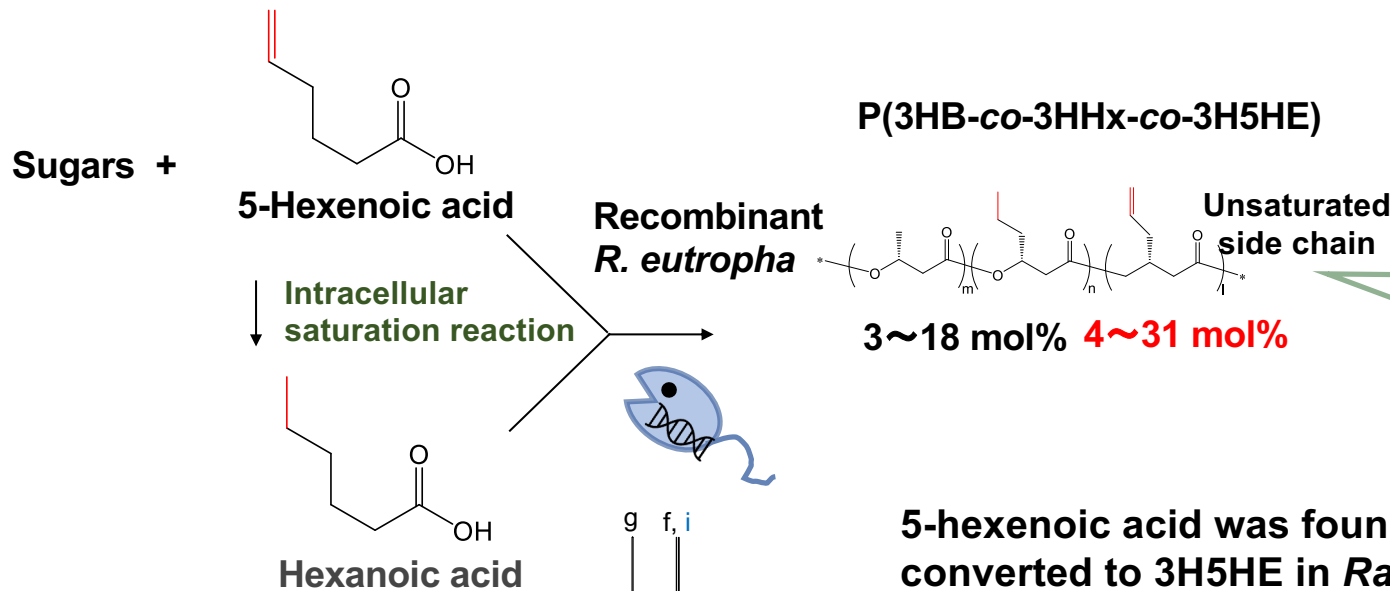
Extraction



Synthesize **200 g** of 3H4PE polymer → switch function can be introduced

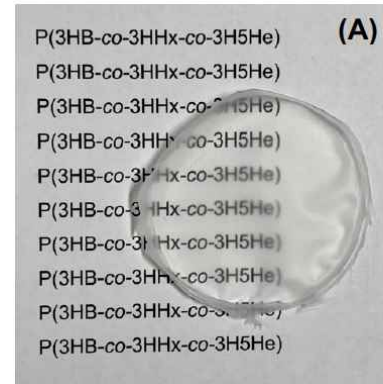
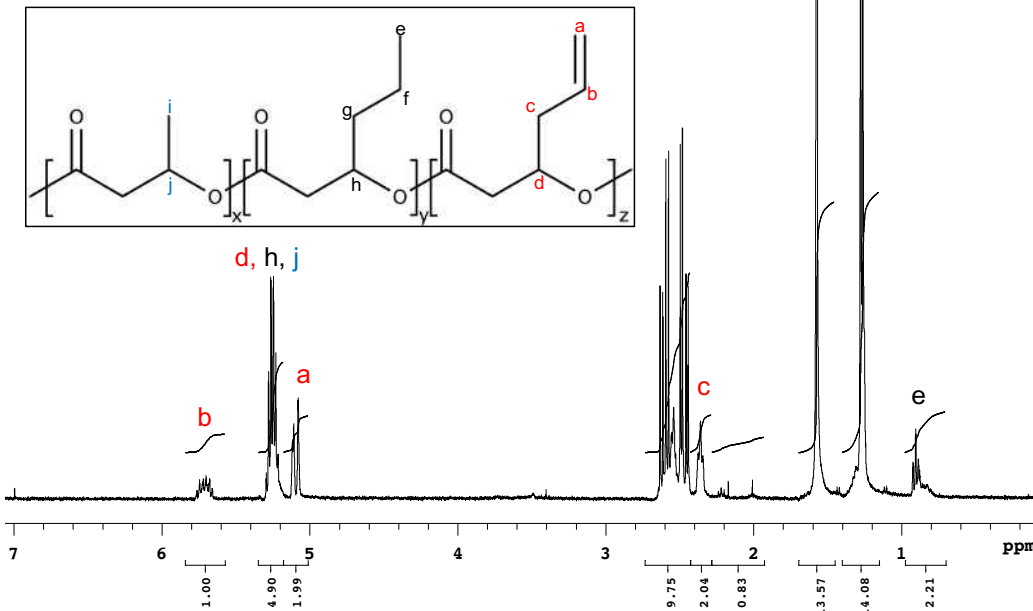
E1

# Base materials for installing switching functions

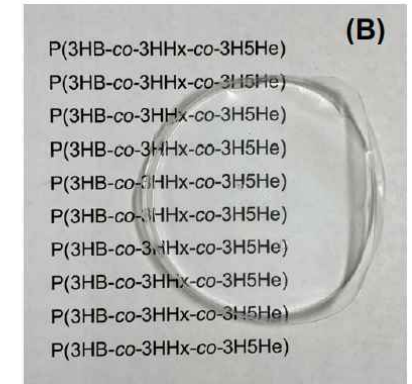


5-hexenoic acid was found to be efficiently converted to 3H5HE in *Ralstonia eutropha* and incorporated into the polymer.

P(3HB-co-13mol% 3HHx-co-27mol% 3H5HE)



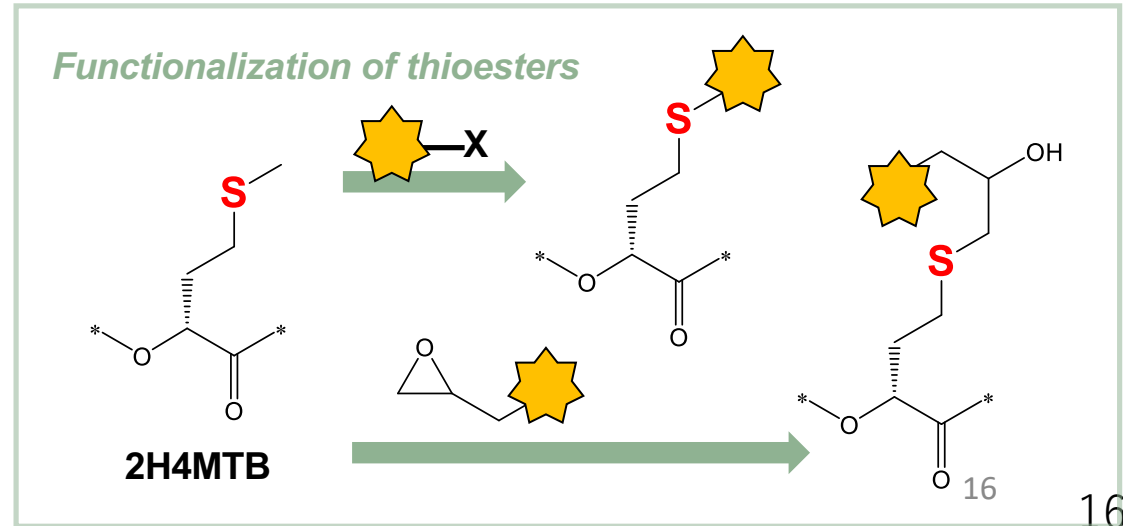
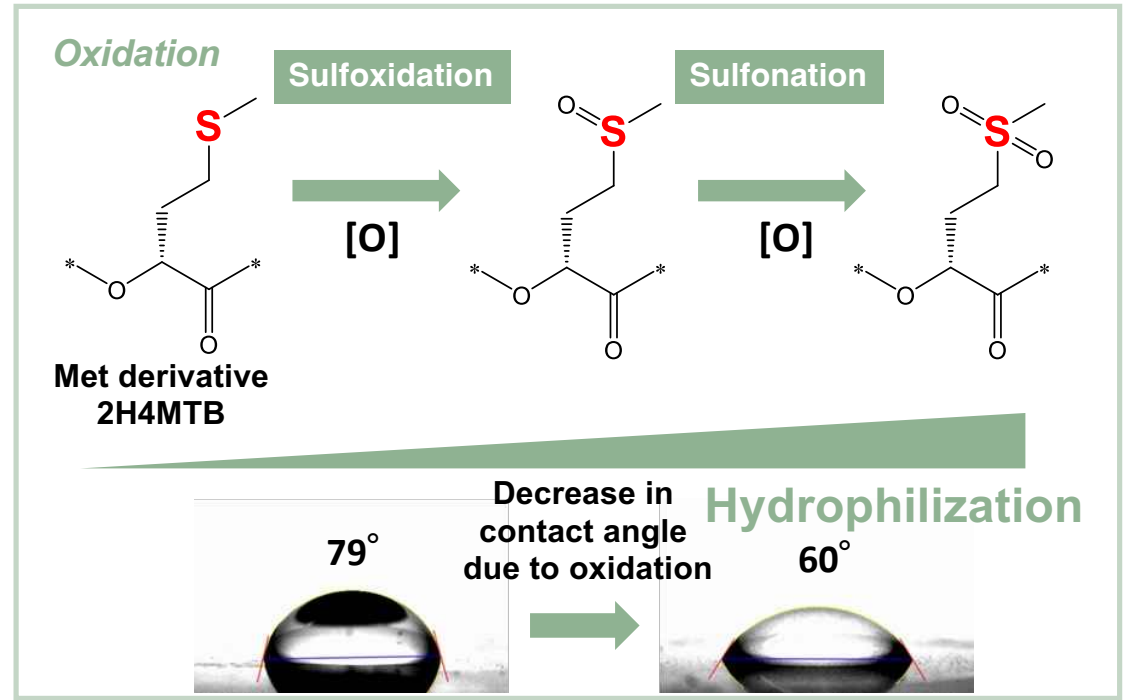
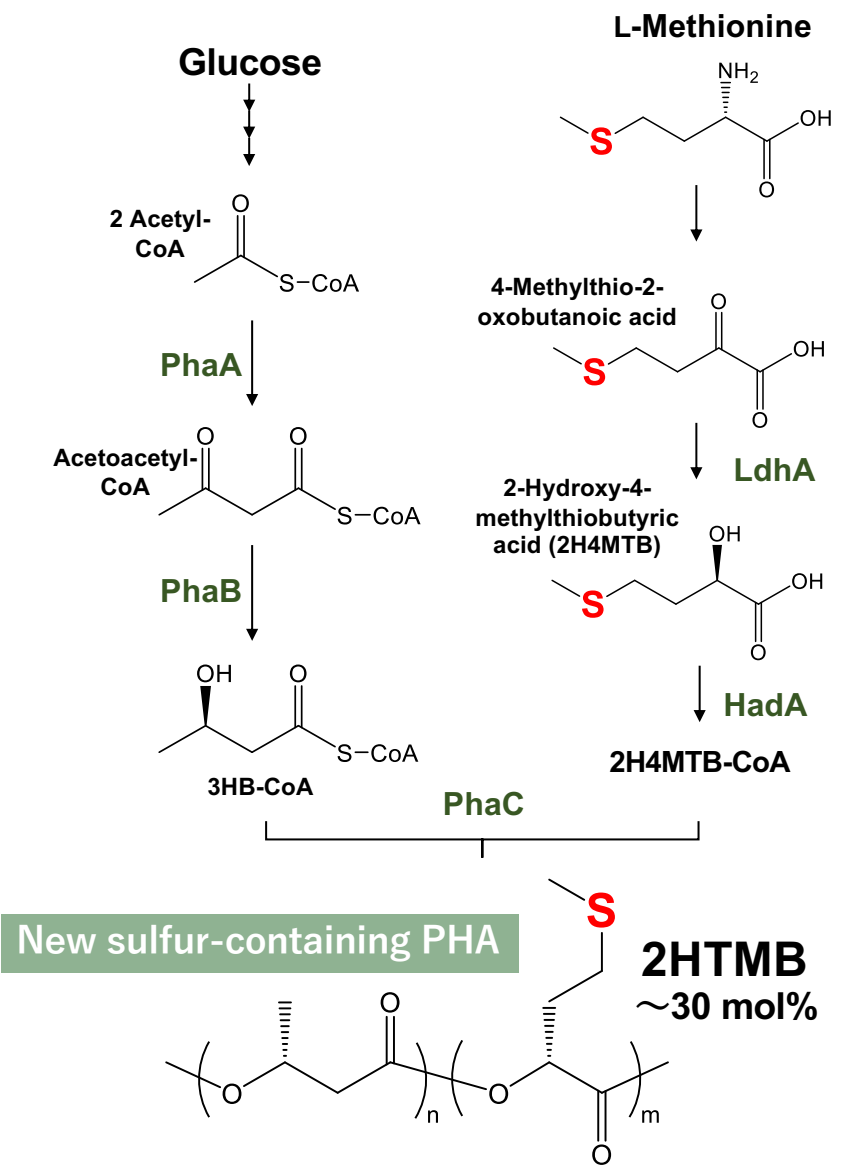
(A) P(3HB-co-4.9 mol% 3H5He-co-5.2% 3HHx)



(B) P(3HB-co-13.9 mol% 3H5He-co-16.3% 3HHx)

E1

# Base materials for installing switching functions

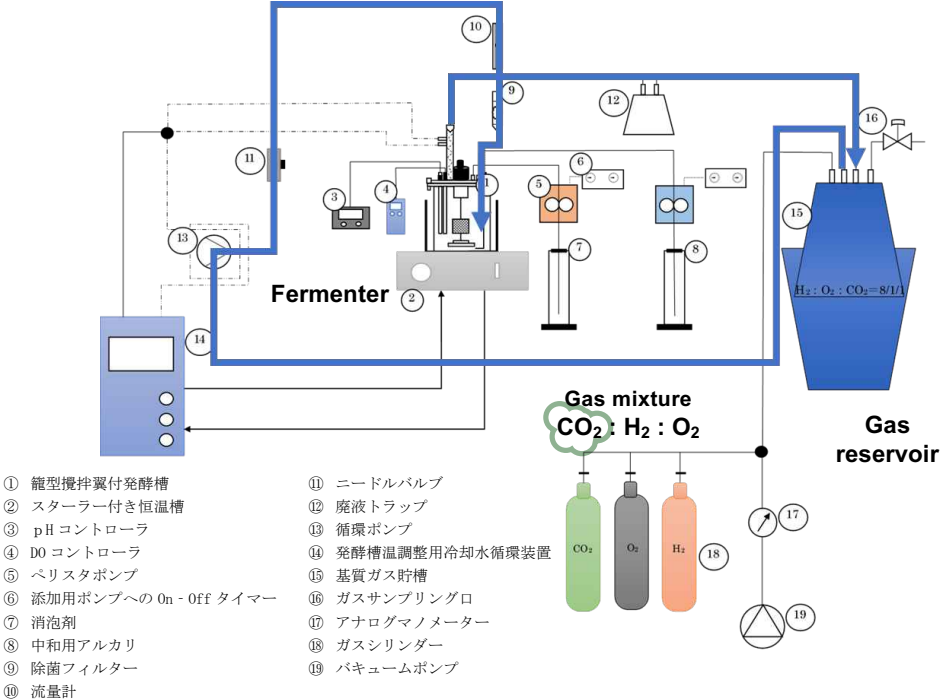
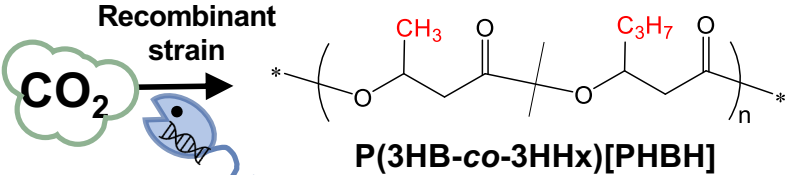




E1

# Development of efficient synthesis method for new PHA from CO<sub>2</sub>

- Using H<sub>2</sub>:O<sub>2</sub>:CO<sub>2</sub> gas as a raw material substrate, genetically modified strains of *Ralstonia eutropha* (*C.necator*) were cultured, and a production test of a new polyester with excellent marine degradability is conducted.
- Clarifying the culture characteristics of recombinant strains and developing technology to efficiently produce polyester from CO<sub>2</sub>.
- In particular, we will focus on the development of a culture method that enables the improvement of product concentration and speed, and the complete consumption of raw material gas.



Closed circulation gas culture system

① PHBH productivity of various recombinant strains (flask culture)

| Strain / Plasmid                           | Dry cell wt. (g/l) | PHBH Content (wt%) | Composition (mol%) |                 |
|--|--------------------|--------------------|--------------------|-----------------|
|  |                    |                    | 3HB                | 3HHx            |
| <i>C.necator</i> H16 (wild-type)           | 17.16              | 68.2               | 100.0              | 0               |
| MF01/ pBPP-ccr <sub>Me</sub> J4a-emd       | 12.18±0.40         | 64.0±3.4           | 94.8±1.1           | 5.3±1.1         |
| MF01ΔB1/ pBPP-ccr <sub>Me</sub> J4a-emd    | 10.65±1.35         | 61.7±4.6           | 52.3±6.2           | 47.7±6.2        |
| <b>MF01/ pBPP-ccr<sub>Me</sub>JAc-emd*</b> | <b>11.22±2.67</b>  | 64.6±8.1           | 88.7±6.4           | <b>11.3±6.4</b> |
| MF01ΔB1/ pBPP-ccr <sub>Me</sub> JAc-emd    | 8.52±1.00          | 67.8±1.8           | 87.1±2.3           | 11.1±1.3        |

\* 3HHx 10mol% PHBH is known to have the best physical properties (~ 2020)

② PHBH production by *C. necator* strain MF01/Jac (Jar fermenter)

| Dry cell (g/L) | PHBH (g/L) | 3HB (mol%) | 3HHx (mol%) | Culture time (h) | Productivity (g/L/h) |
|----------------|------------|------------|-------------|------------------|----------------------|
| 61.4           | 51.5       | 94.6       | 5.4         | 205              | 0.300                |

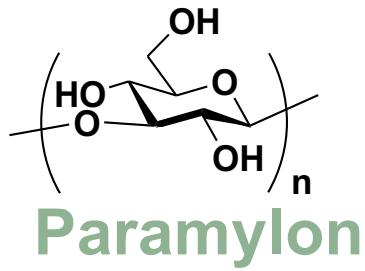
- Search for mineral salt medium composition suitable for PHBH biosynthesis and control of inorganic nutrient concentration during culture
- Productivity improvement by improving fermentation tank agitation performance, etc.

|      |      |      |      |     |       |
|------|------|------|------|-----|-------|
| 71.0 | 58.4 | 86.2 | 13.8 | 119 | 0.594 |
|------|------|------|------|-----|-------|

( Highest value as of November 2022 )

E1

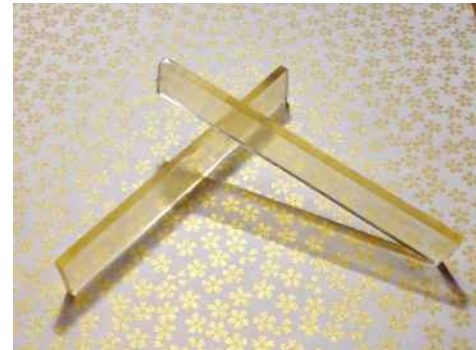
# Marine biodegradable plastics produced from polysaccharides



Extraction  
Thermo-processing



- Esterification
- New processing procedure



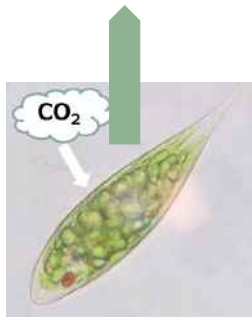
Injection molding

- Resistant to acids and alkalis
- Better impact strength > PP



Melt-spun fibers

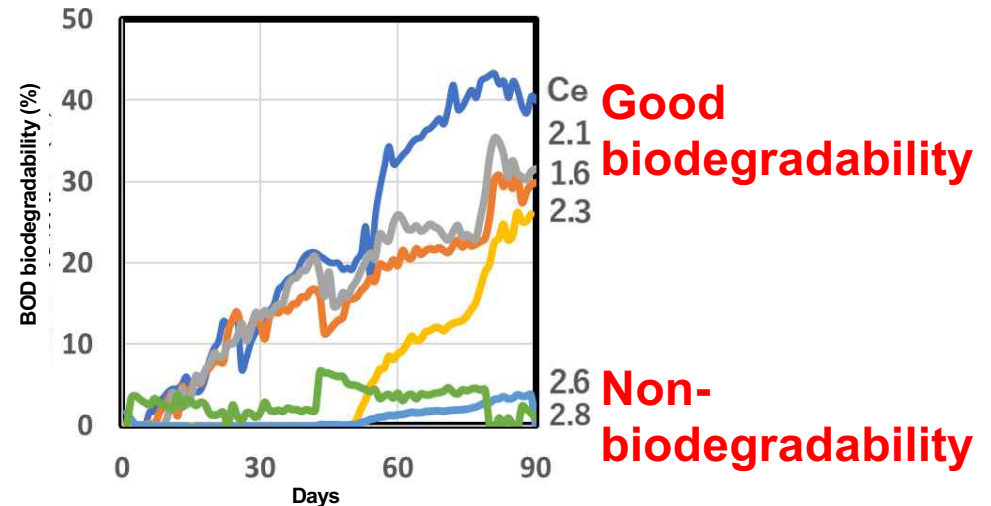
- Processable without additives
- High-strength



Euglena

## BOD biodegradation test

Using Seawater from Tokyo Bay  
Successful development of new high-performance materials with controlled marine degradability from polysaccharides



E1

# Development of new cellulose-based transparent materials

Up to 2 mm thickness      Ductile      3D shaping

Dissolving, coagulating, and drying cellulose gives transparent paperboard. PCT/JP2020/03984

**Compositionally identical with paper but more functional.**

## A transparent cup made of cellulose

Gel      Cup      Drying

The cup holds water without inner film, which is necessary for the conventional paper cup.

By the improved shaping process, the preparation of materials entirely made of pristine cellulose or chitin was successful.

Gel      Straw      Drying

The fragileness of chitin was overcome by the improved molding process.

Easy-coloring

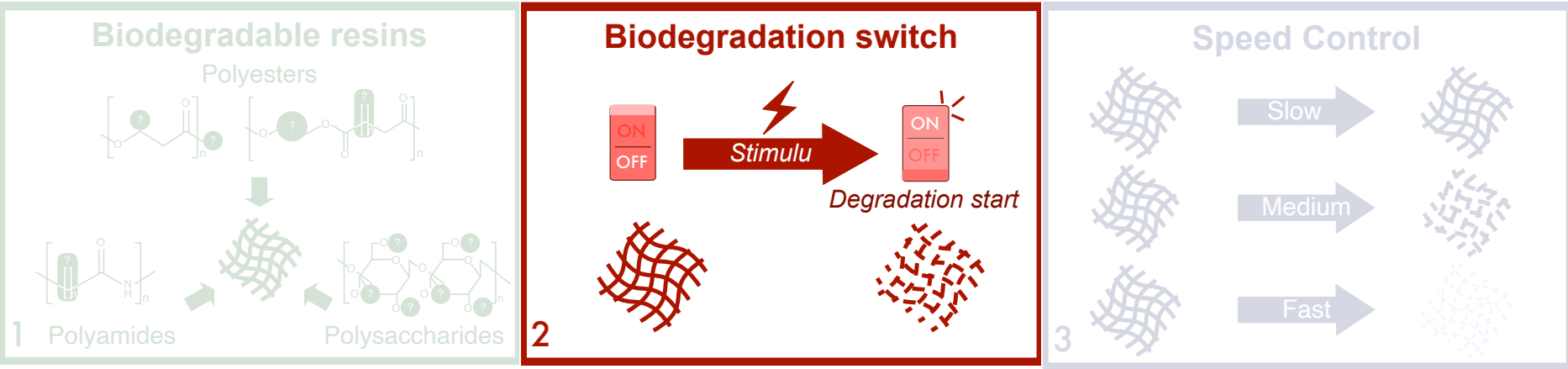
Chitin straw: Complete decomposition in 2 months.

Cellulose cup: Complete<sup>19</sup> decomposition in 10 months.

E2

# 8. Major results regarding ongoing topics

## E2, Development of switching function to start biodegradation



E2 : Development of switching function

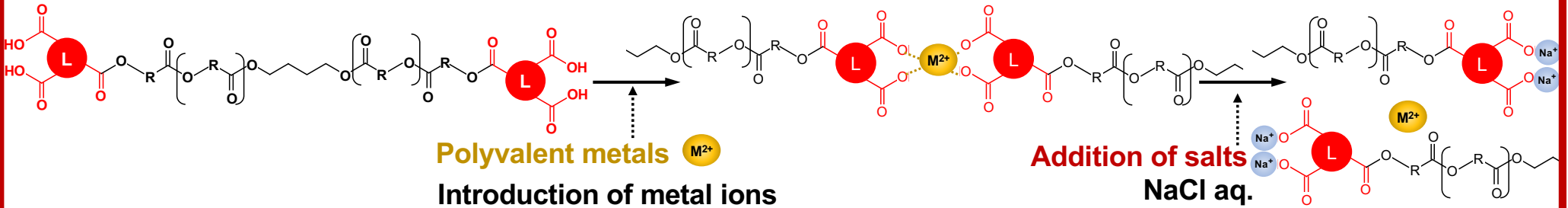
- pH
- Salt conc.
- ORP
- Pressure
- Temperature
- Wearing

E2

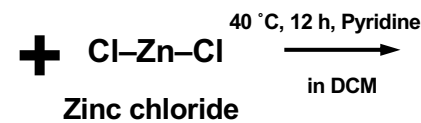
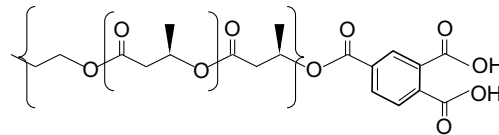
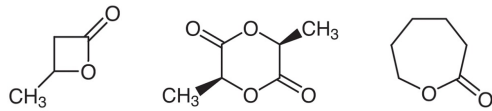
# Switching triggered by difference in salt conc.

Polyesters introduced the ligands with dicarboxylic acid group

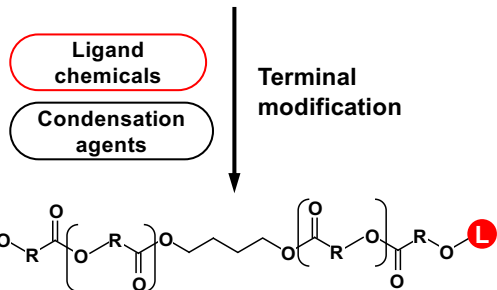
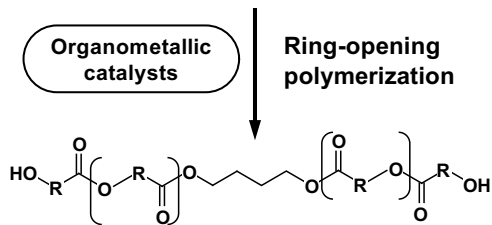
Formation of coordinate bonds Cleavage of coordinate bonds



Lactones and Lactide monomers

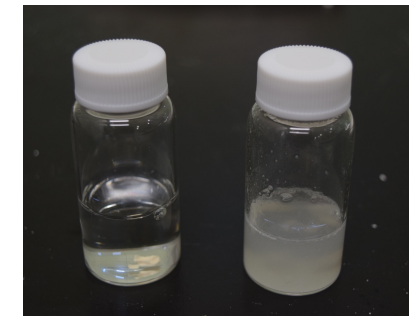
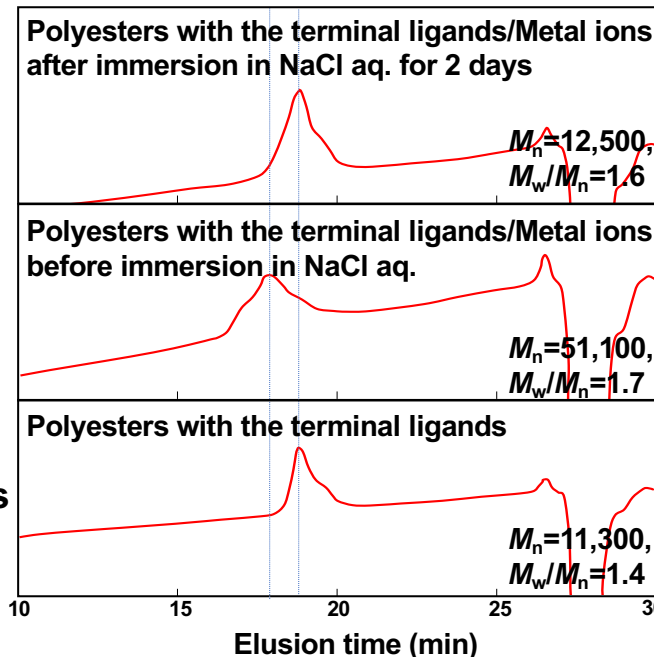


Linear polymers forming coordinate bonding between terminal ligands and metal ions



Polyesters with the terminal ligands Succeeded in introduction of ligands into the chain-ends of aliphatic polyesters

Changes in molecular weights determined by GPC

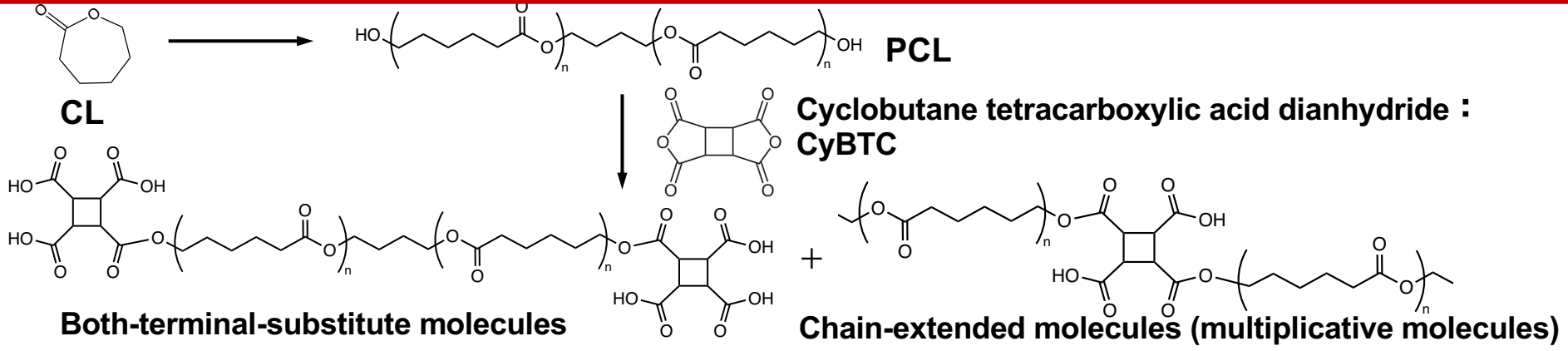
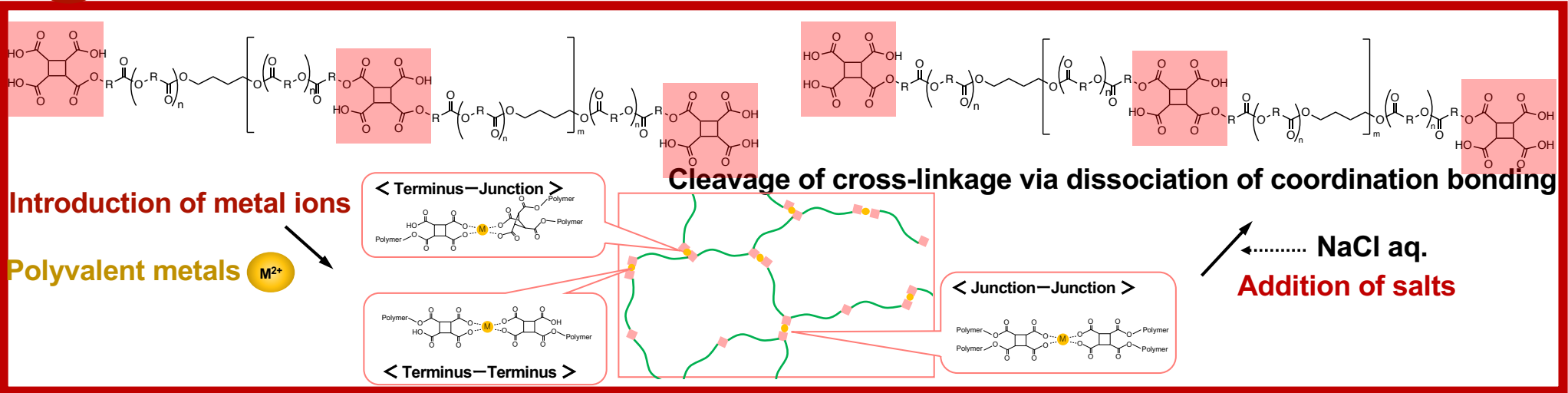


Water 3wt% NaCl aq.

Confirmed the cleavage of coordinate bonding of polymers by the immersion into NaCl aq. solution with a concentration of 2wt% or more.

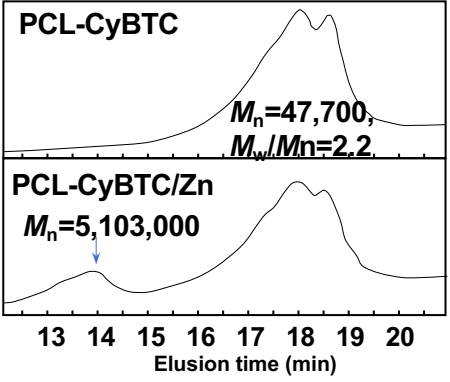
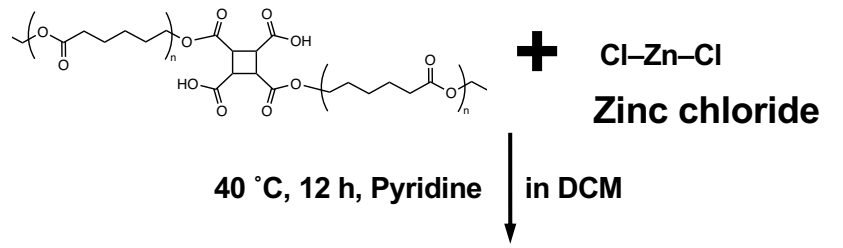
E2

# Switching triggered by difference in salt conc.



PCL with cyclobutane tetracarboxylic acid

Changes in molecular weights determined by GPC



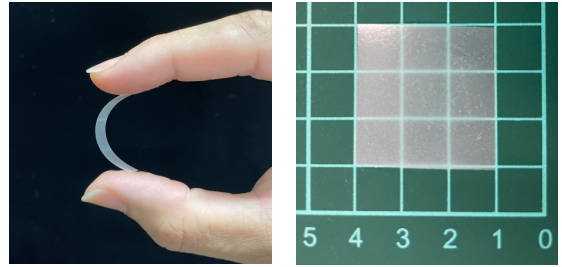
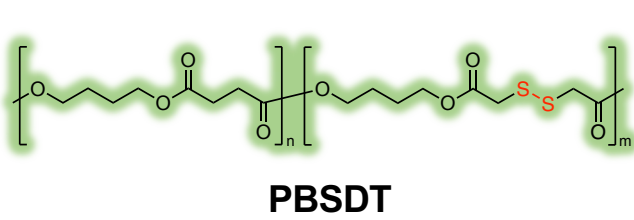
Confirmed the partially cross-linking structure via formation of coordinate bonding between metal ions with tetrahedral coordination and the functional ligands of dicarboxylic acid group in polyesters

**Cross-linking polymers forming coordinate bonding between ligands and metal ions**

E2

# Switching triggered by difference in ORP

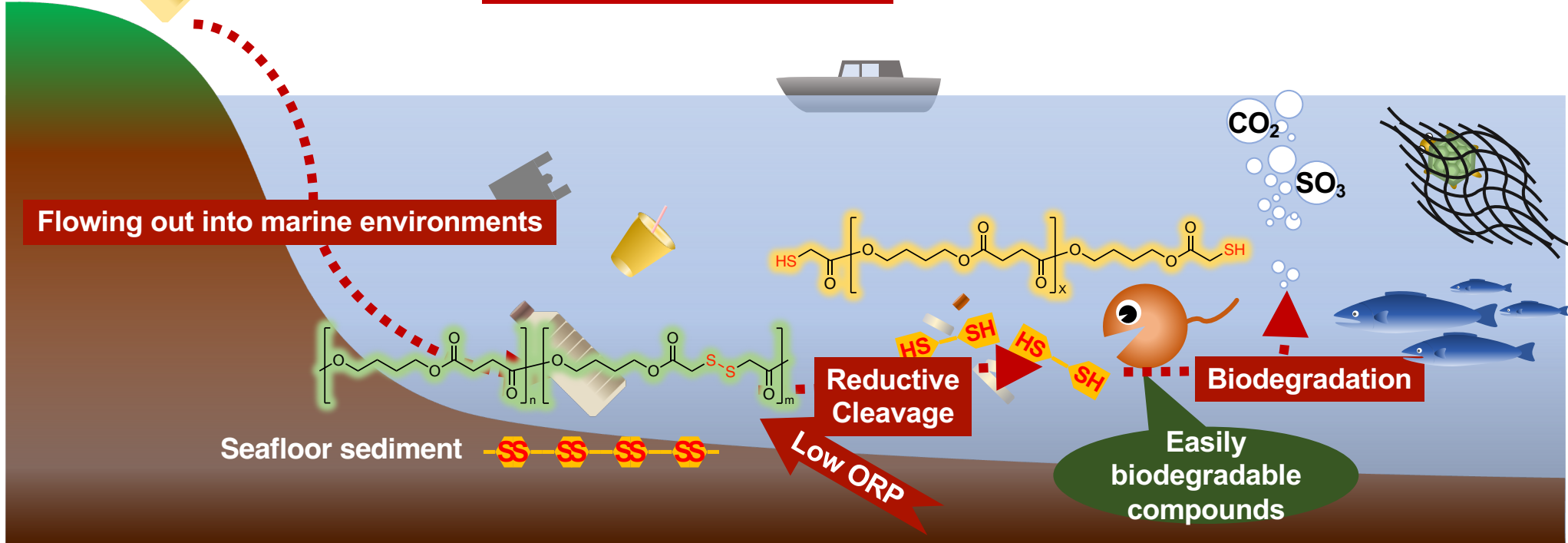
Biodegradability control by low oxidation-reduction potential (ORP) in marine environments



- PBSDT as an analogue of PBSA
- Reductive cleavage of disulfide bonding in low ORP condition
- Reduced degradation products biodegrade in the ocean

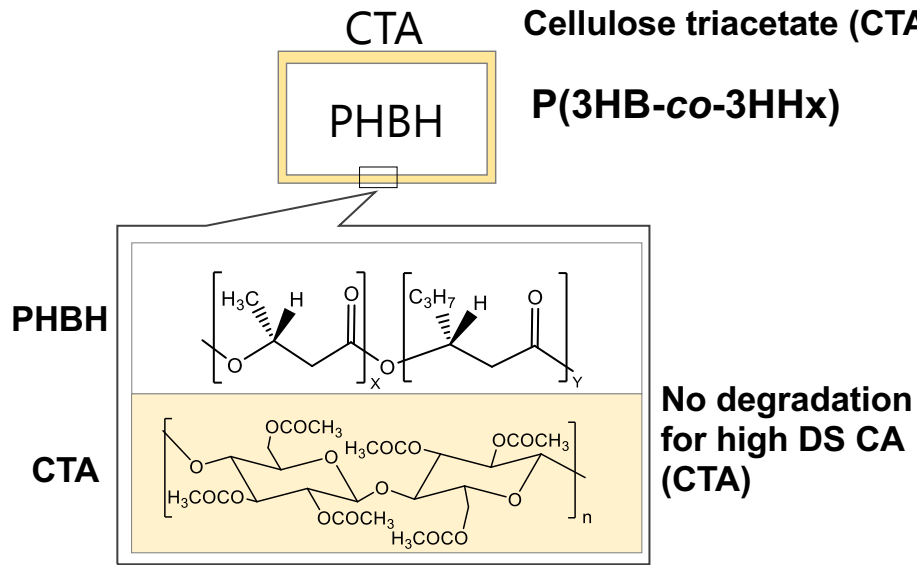
Flexible film by melt-molding

Flowing out into marine environments



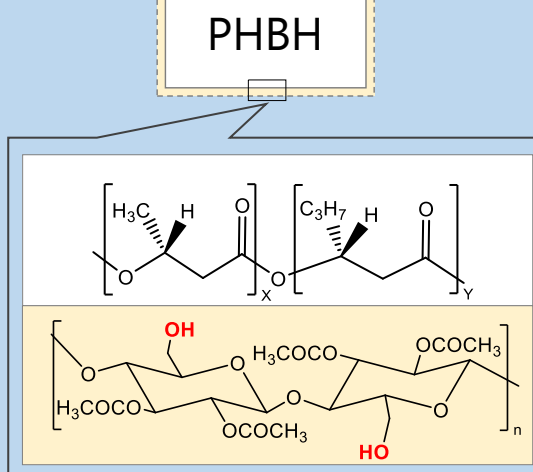
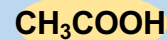
E2

# Switching triggered by difference in pH



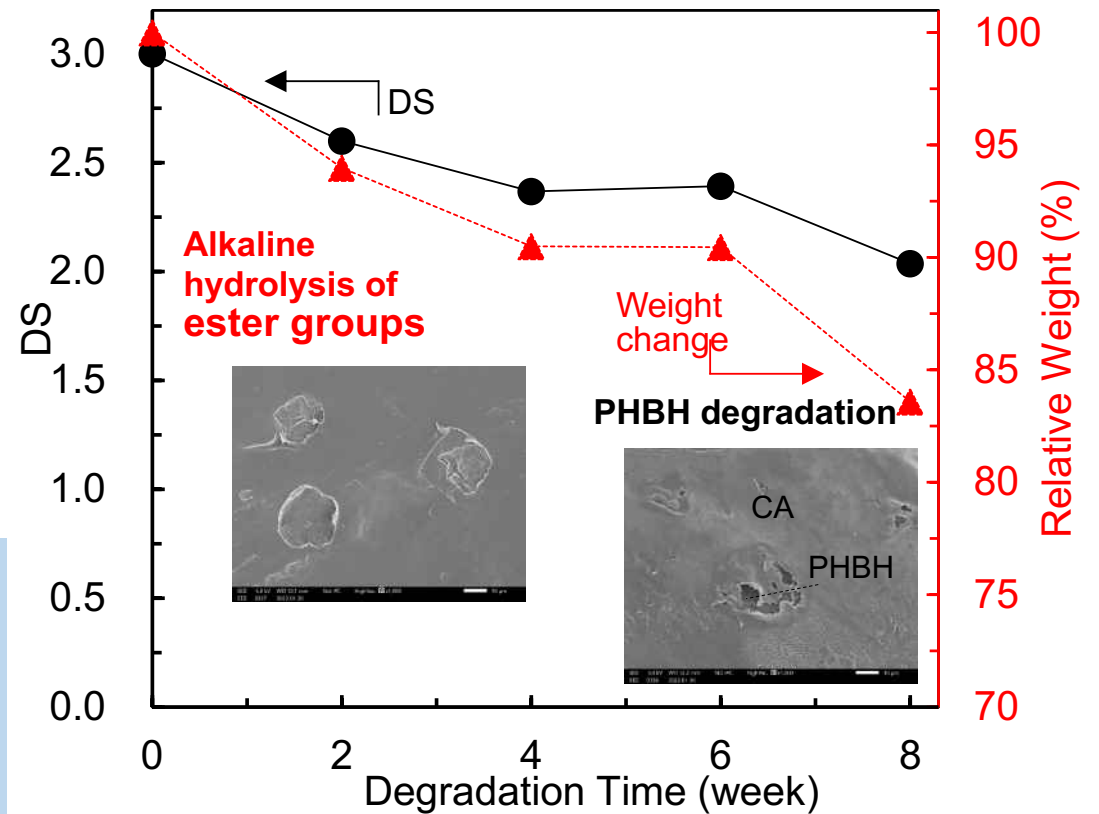
Switch ON ↓ Alkaline hydrolysis in seawater with pH 7.5-8.3

CA (DS < 2.5)

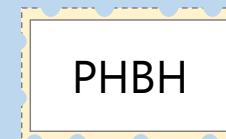


CA biodegradation after alkaline hydrolysis of acetyl groups and subsequent decrease in degree of substitution (DS)

Degradation test in sea water



After 4 weeks



CA biodegradation

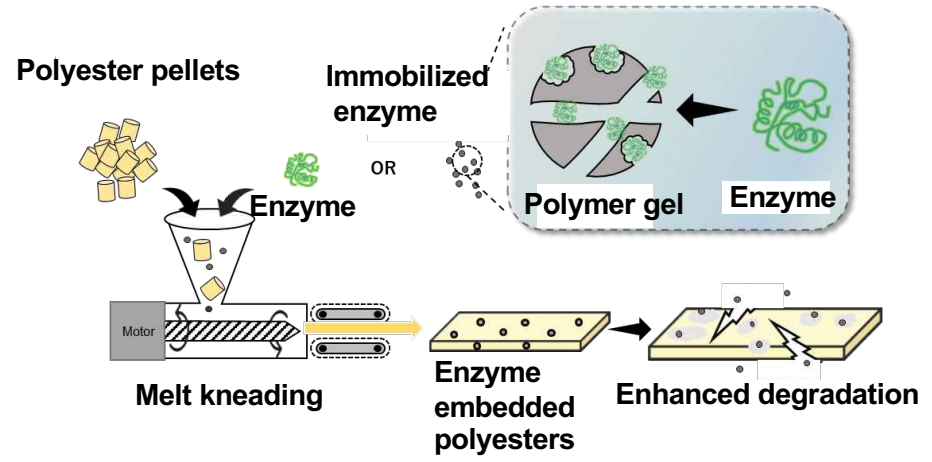
After 8 weeks



PHBH degradation triggered by CA degradation



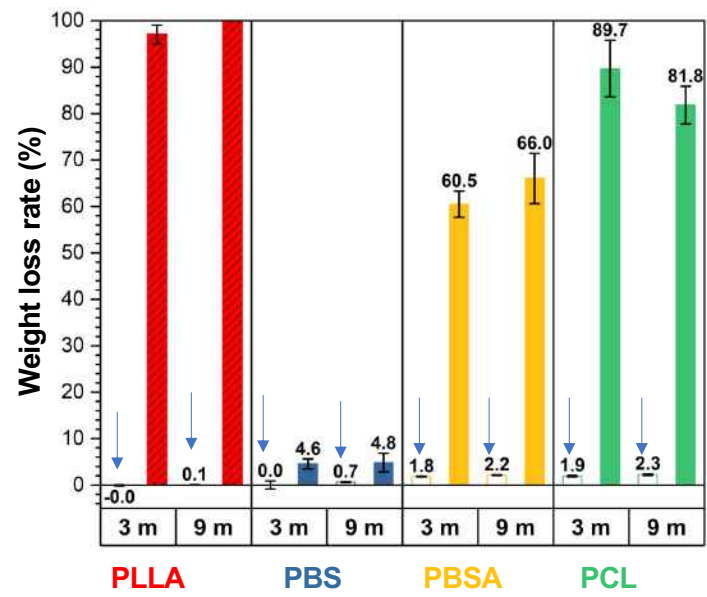
# E2 Switching triggered by wear (Enzyme)



Enzymes (Lipase, Cutinase, proteinase K) were embedded in biodegradable plastics that show slow degradation in the ocean.

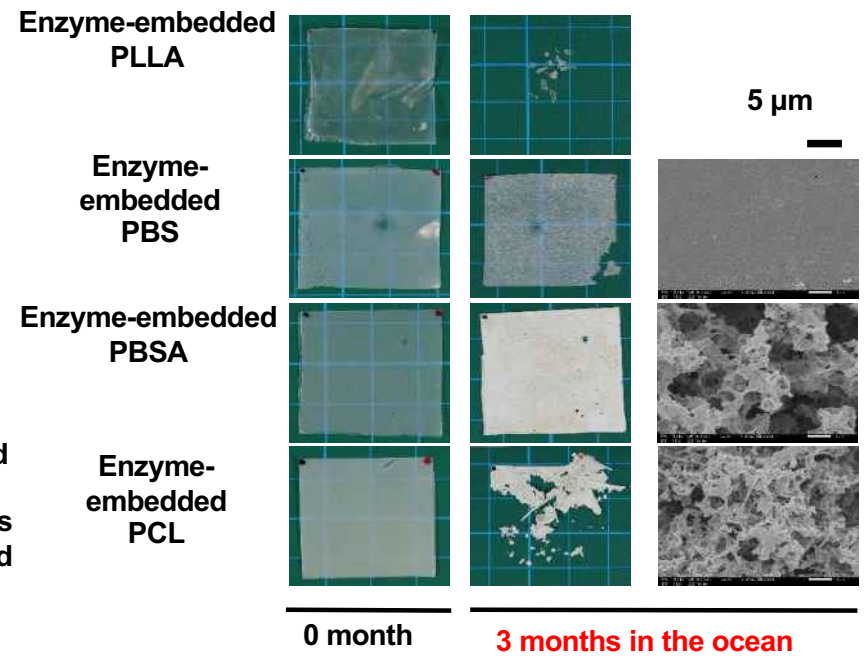


Enzymes were immobilized in gel-beads to improve stability against heat molding.

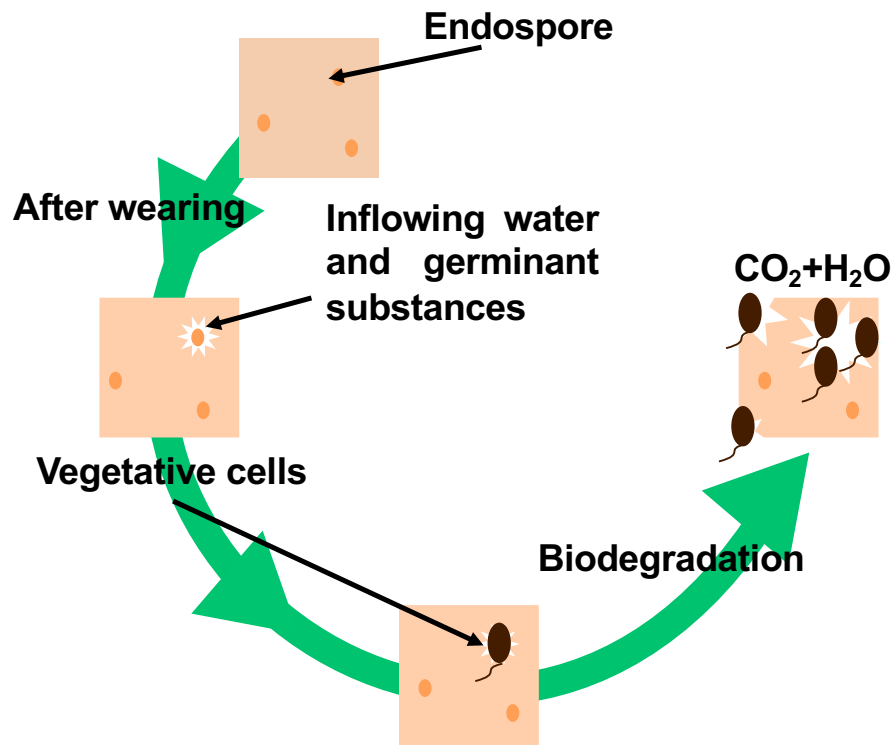


Without enzyme: no degradation

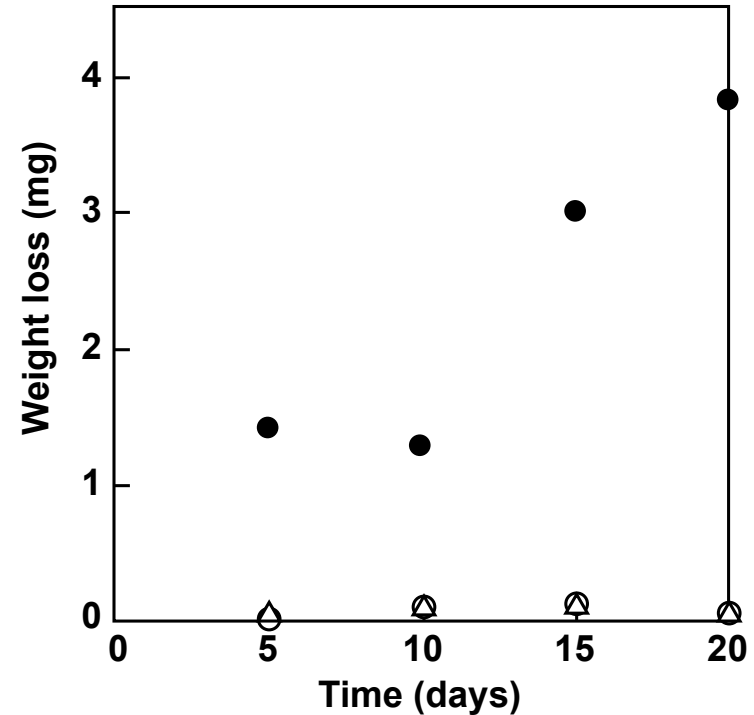
Enzyme-embedded polyesters: High weight loss and degradation



# E2 Switching triggered by wear (Endospore)



- **Degradation is triggered by wear, and biodegradation proceeds as endospores transform to vegetative cells.**

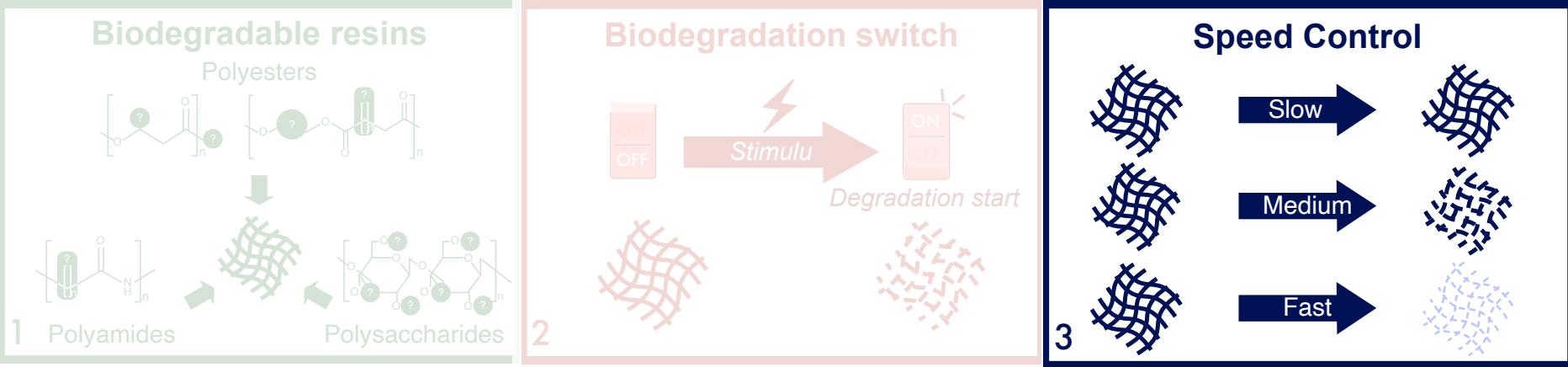


Degradation test of spore-containing PESu ● : Weight loss of spore-containing PESu film with Yeast extract (YE). ○: Weight loss of PESu film with YE. Δ: Weight loss of spore-containing PESu film without YE.

E3

# 8. Major results regarding ongoing topics

## E3, Biodegradation rate control



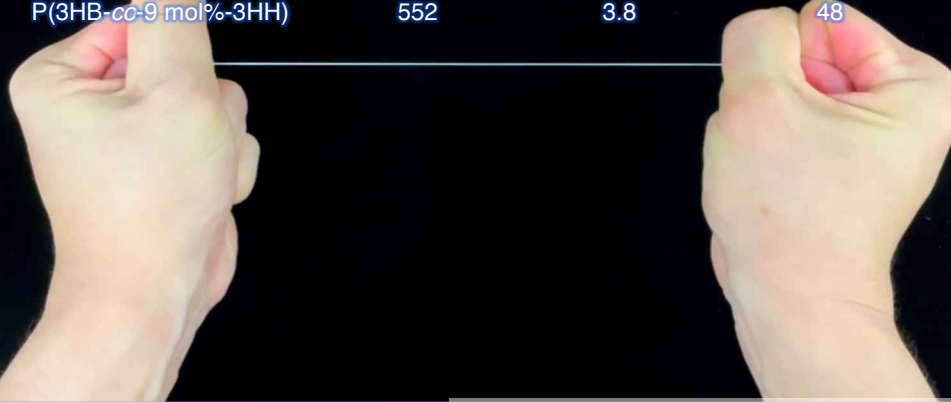
|                                  |              |                   |
|----------------------------------|--------------|-------------------|
| E3 : Biodegradation rate control | Acceleration | Biological factor |
|                                  | Deceleration | Material science  |

E3

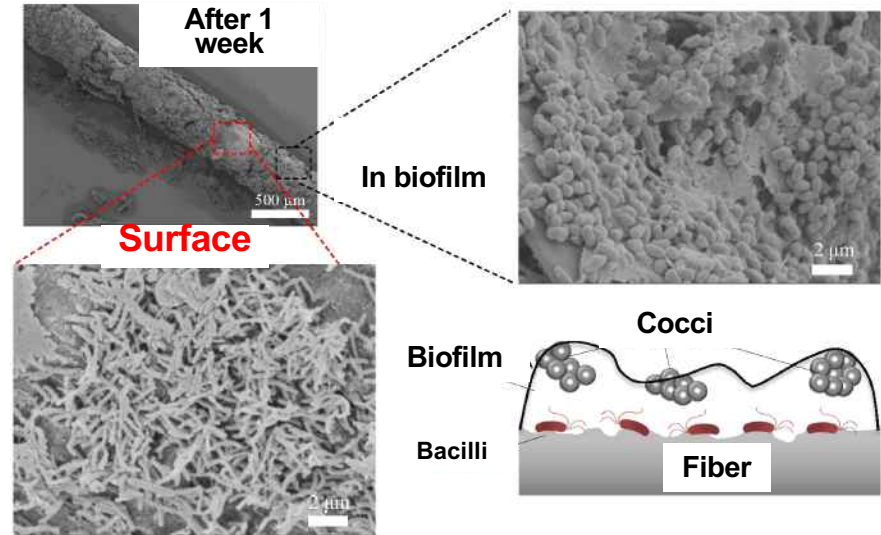
# Biodegradation rate factors from materials science

## High-strength and modulus PHA fiber

| Microbial polyester fibers | Mechanical properties |                       |                         |
|----------------------------|-----------------------|-----------------------|-------------------------|
|                            | Tensile strength /MPa | Young's modulus / GPa | Elongation at break / % |
| P(3HB)                     | 1320                  | 18.1                  | 35                      |
| P(3HB-co-8 mol%-3HV)       | 1065                  | 8.0                   | 40                      |
| P(3HB-co-9 mol%-3HH)       | 552                   | 3.8                   | 48                      |

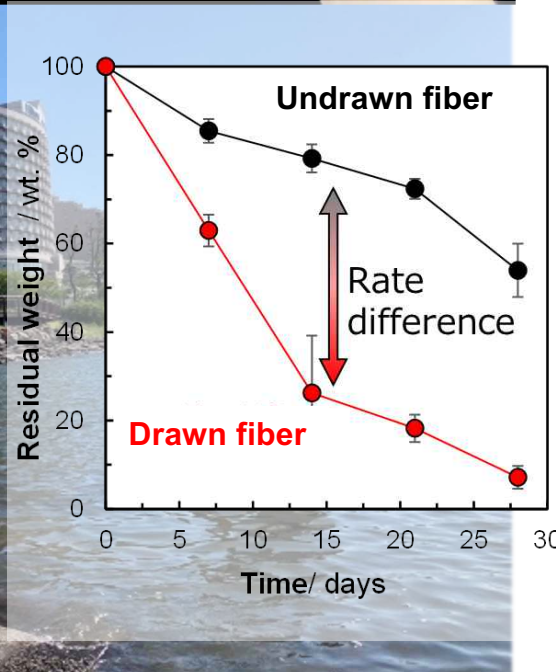


## Biodegradation of fiber



Bacilli on the fiber surface may degrade fiber using the enzyme.

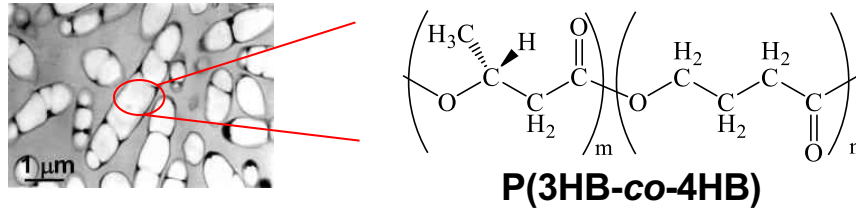
- Degradation rate can be controlled by drawing ratio.
- Degradation rate is related to crystalline morphology.



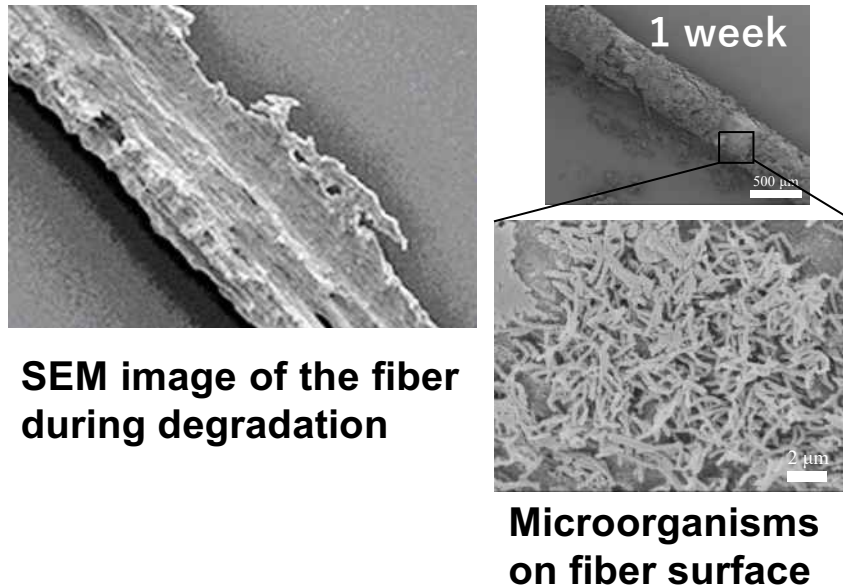
E3

# Biodegradation rate factors from materials science

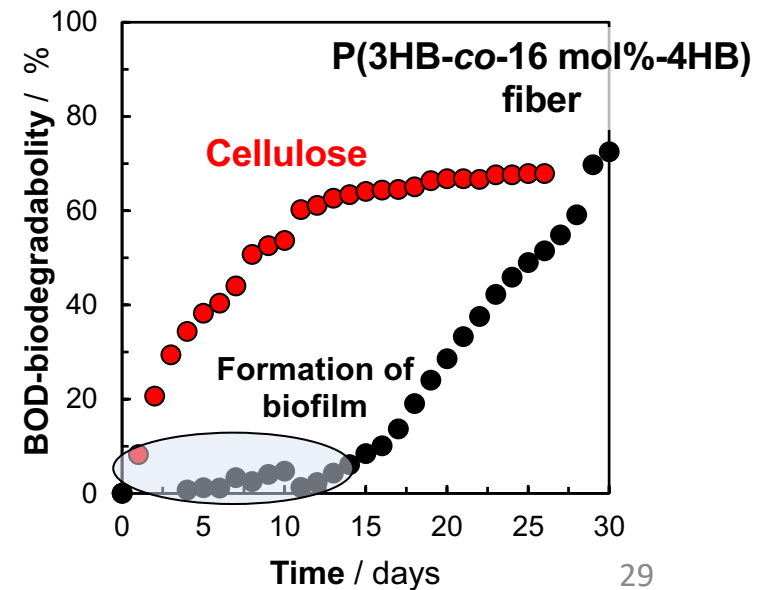
## Highly stretchable biodegradable fibers



## Surface observation

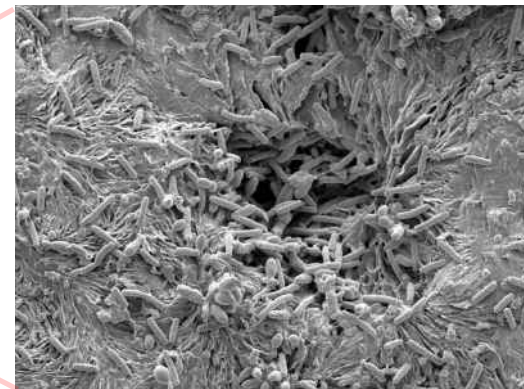
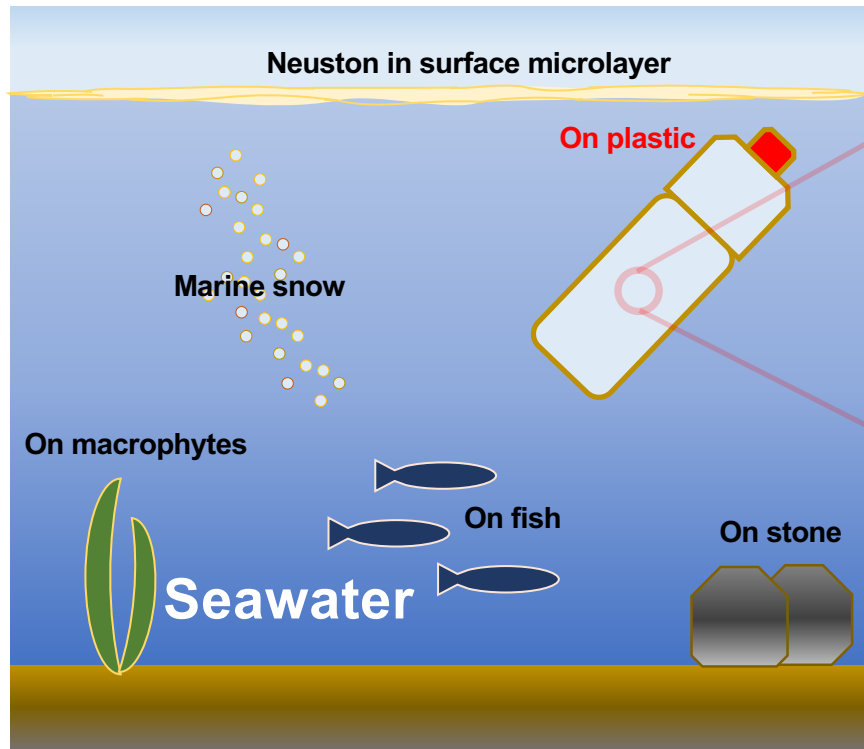


## BOD biodegradability in sea water

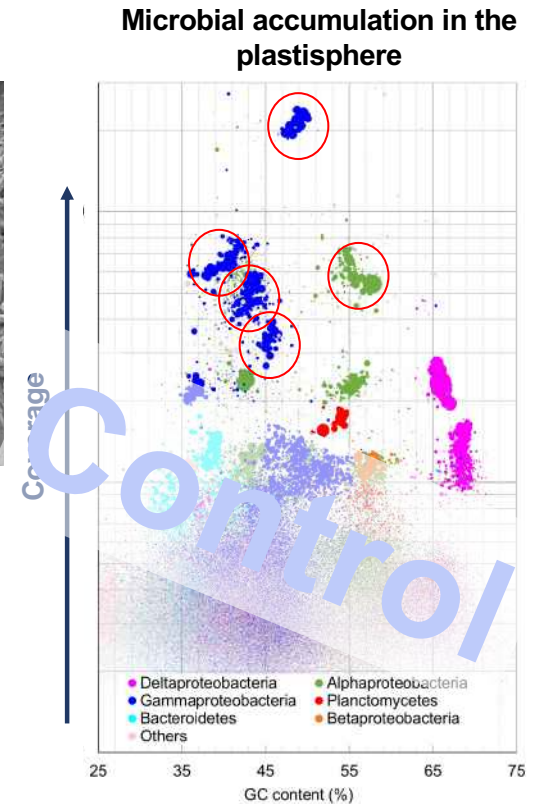


E3

# Plastisphere: Microbial flora formed on plastic surface



SEM image of plastisphere formed on the biodegradable plastic surface.



Metagenome analysis

Microbes with high abundance  
 = Genome information of **microbes involved in the biodegradation.**



**Elucidate the biodegradation mechanism of plastic and control the degradation rate.**

Also investigate the plastisphere in non-oceanic environments.



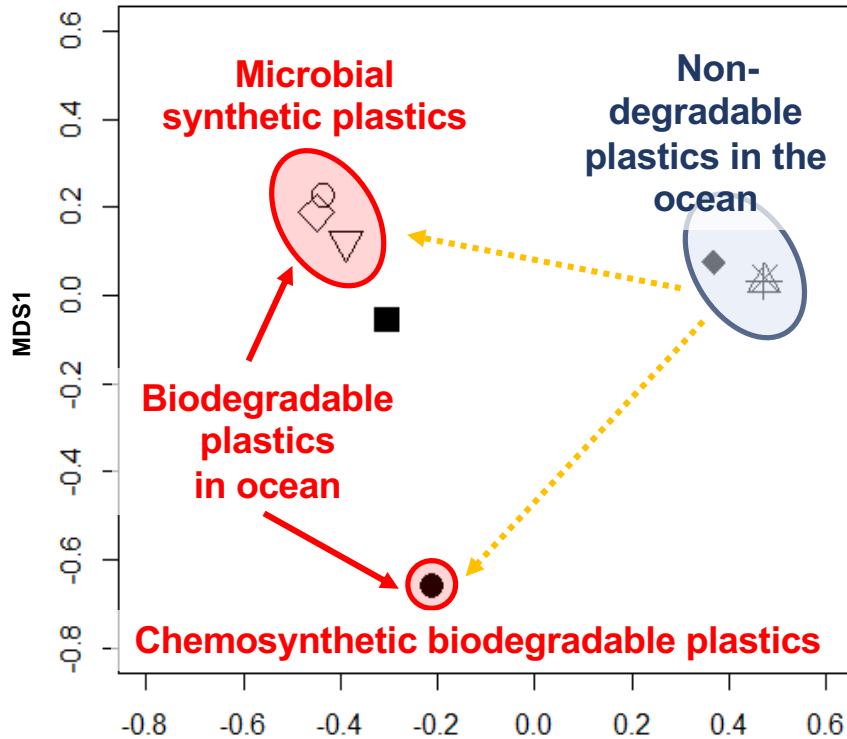
Biodegradable plastics is exposed to pond.



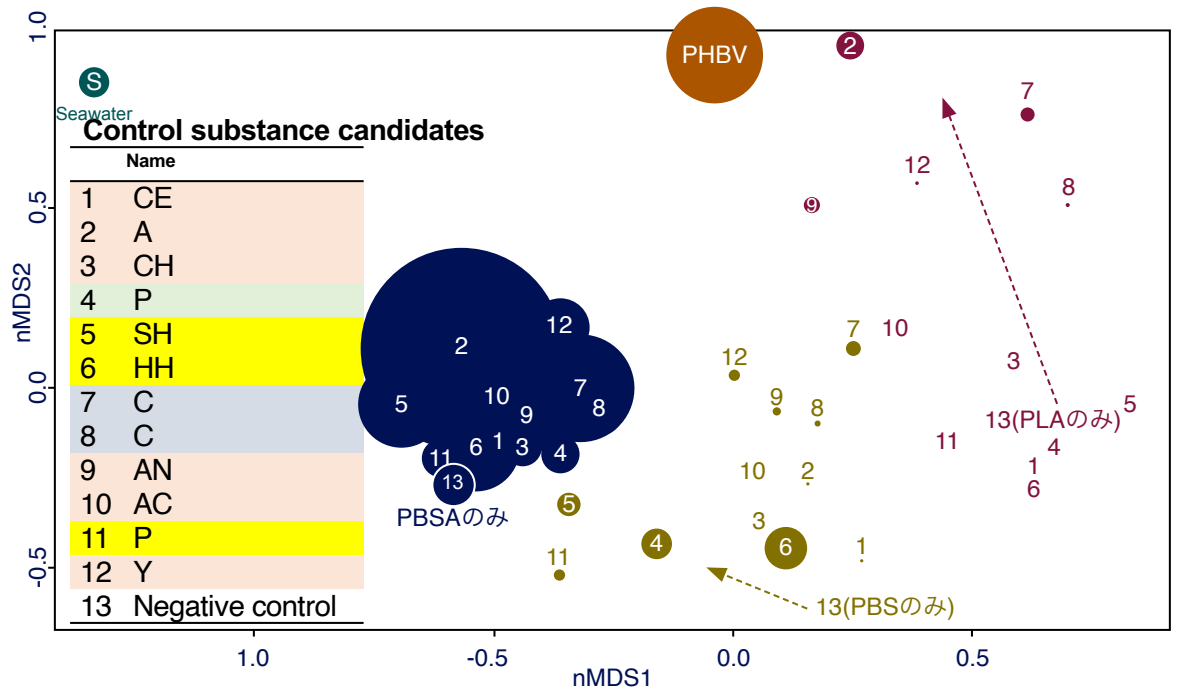
E3

# Biodegradation rate control by controlling plastisphere

Addition of 10% plastisphere control substance candidate to the biodegradable base polymer. The films were exposed to seawater and investigated weight loss and change in plastisphere.



The plastisphere of Non-marine biodegradable plastics close to that of marine biodegradable plastics. → **Improving biodegradability**



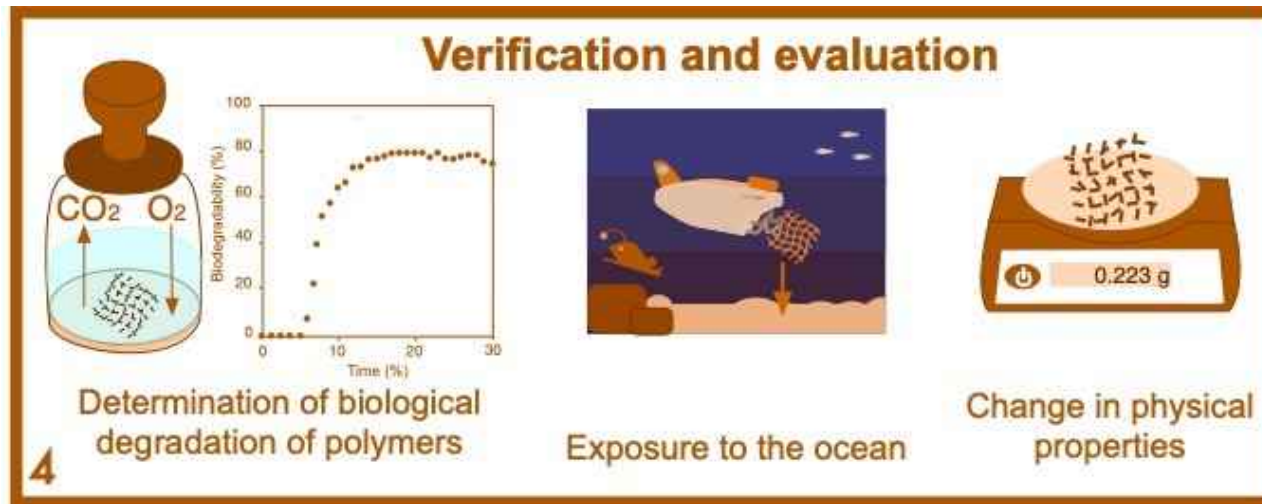
Non-metric multidimensional scaling (nMDS) based on the Bray-Curtis index. Numbers in the plot indicate the type of substance. The area of the plot shows the biodegradation rate except for seawater.

- PBSA**  
No.2、No.5、No.6、No.7
  - PBS**  
No.5、No.6
  - PLA**  
No.2、No.7、No.9
- Effect on increase in the degradation rate.*

E4

## 8. Major results regarding ongoing topics

### E4, Validation and evaluation of biodegradability in laboratory and deep-sea environments

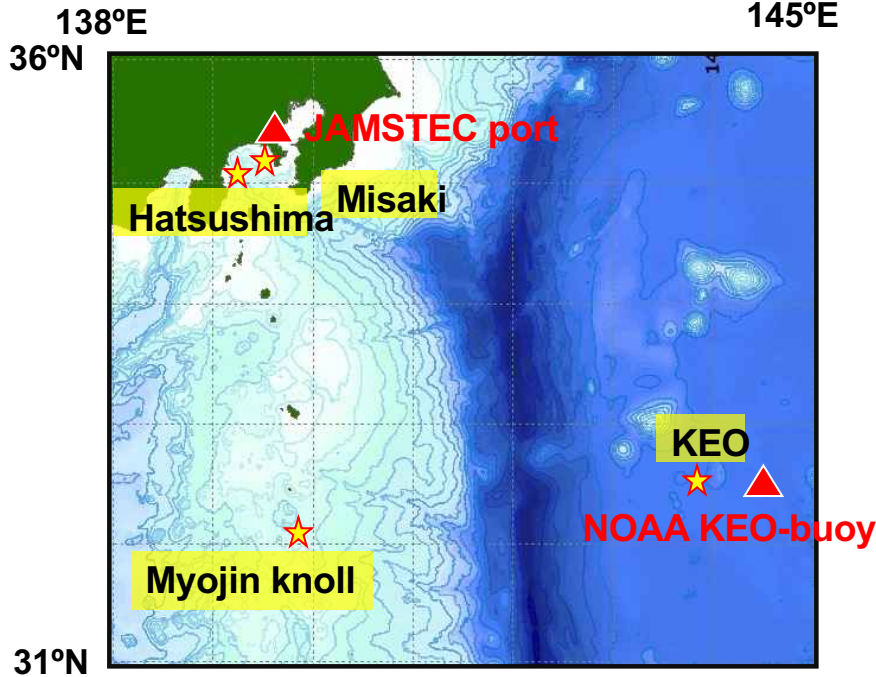


|  |                 |   |
|--|-----------------|---|
| E4 : <b>Verification and evaluation of marine biodegradability</b> | <b>In vitro</b> | BOD biodegradability<br>Test condition  |
|  | <b>In vivo</b>  | In Shallow water<br>In deep-sea<br>Buoy |



E4

# In situ biodegradation tests of novel materials



■ We carried out 6 cruises to test the biodegradability of newly developed materials on the deep-sea floor from 2020 to 2022. This is the only project that is testing biodegradability on the deep-sea floor in situ, where large amount of plastic debris are accumulating.

■ We have also started biodegradability test at the surface of the North Pacific pelagic site.

■ The recovered materials were examined with different chemical and physical tests, together with meta-omics approaches of the attached biofilms.



Deployment and recovery with the manned submersible



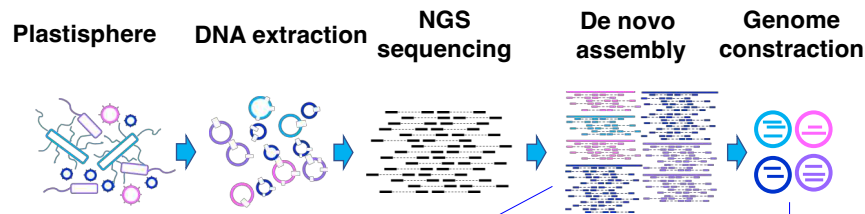
Plastic chambers deployed on the deep-sea floor



Plastic chambers deployed on the mooring buoy

# E4 Meta-omics analysis of plastisphere correlating with biodegradation

## Pipeline



Pipeline for analysis the genome and gene function  
 Pipeline for genome quality control

**MetaGeneMark**

**TMHMM - 2.0**  
Prediction of transmembrane helices in proteins

**GhostKOALA**  
Query Data Input

**ESTHER Database**

**KAAS - KEGG Automatic Annotation Server**  
The online annotation and pathway resource

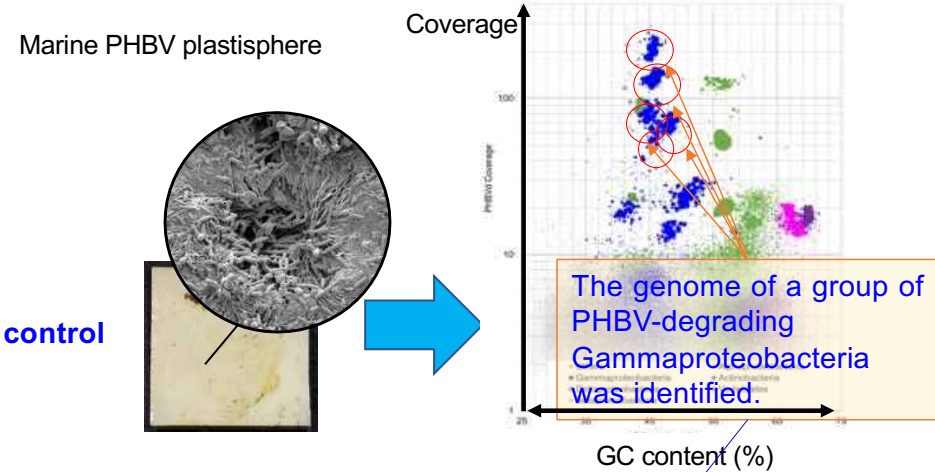
**CheckM**

**RNAmmmer 1.2 Server**  
The RNAmmmer 1.2 server predicts S-RNA sequences. This page is the entry of the available sites as a Web Service interface on the

**tRNAscan-SE**  
Searching for tRNA genes

**GENOME TAXONOMY DATABASE**

## Metagenome analysis of plastisphere



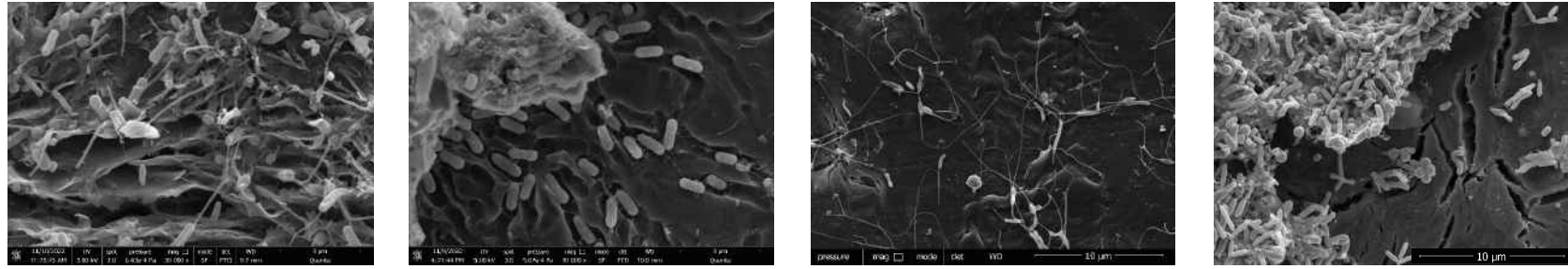
| Function                 | ESTHER ID                     | Gamm1 | Gamm2 | Gamm3 | Gamm4 | Gamm6 | Gamm7 | Gamm8 | Gamm5 | Gamm9 | Gamm10 | Alph1 | Alph3 | Alph4 | Alph6 | Act1 | Delt1 | Alph5 |    |
|--------------------------|-------------------------------|-------|-------|-------|-------|-------|-------|-------|-------|-------|--------|-------|-------|-------|-------|------|-------|-------|----|
| Esterase_phb             | Esterase_phb                  | 23    | 13    | 19    | 20    | 18    | 14    | 1     | 0     | 3     | 1      | 0     | 0     | 0     | 0     | 0    | 1     | 6     | 0  |
| Abhydrolase_6            | PHB_depolymerase_PhaZ         | 1     | 1     | 1     | 1     | 1     | 1     | 1     | 0     | 1     | 0      | 1     | 1     | 1     | 1     | 1    | 0     | 0     | 0  |
| Esterase_phb_PHAZ        | Esterase_phb_PHAZ             | 1     | 0     | 0     | 1     | 1     | 0     | 1     | 0     | 0     | 0      | 0     | 0     | 0     | 0     | 0    | 0     | 0     | 0  |
| Thioesterase             | Thioesterase                  | 9     | 9     | 4     | 4     | 8     | 8     | 13    | 5     | 0     | 0      | 1     | 0     | 0     | 2     | 2    | 6     | 10    | 0  |
| Lipase_2                 | Lipase_2                      | 10    | 2     | 6     | 10    | 11    | 5     | 0     | 1     | 0     | 0      | 0     | 0     | 0     | 0     | 0    | 0     | 0     | 1  |
| Abhydrolase_6            | 6_AlphaBeta_hydrolase         | 15    | 12    | 12    | 18    | 19    | 14    | 14    | 32    | 11    | 15     | 9     | 6     | 16    | 32    | 24   | 50    | 31    | 0  |
| Bacterial_lipase         | Bacterial_lip_FamL3           | 4     | 0     | 1     | 3     | 2     | 1     | 2     | 2     | 2     | 1      | 5     | 5     | 10    | 0     | 25   | 1     | 0     | 3  |
| AlphaBeta_hydrolase      | AlphaBeta_hydrolase           | 2     | 5     | 2     | 2     | 4     | 4     | 1     | 3     | 3     | 0      | 6     | 3     | 5     | 3     | 4    | 9     | 8     | 0  |
| Hormone-sensitive_lipase | Hormone-sensitive_lipase_like | 1     | 0     | 1     | 2     | 1     | 4     | 0     | 1     | 8     | 1      | 2     | 0     | 1     | 0     | 1    | 9     | 7     | 12 |
| Epoxide-hydrolase_like   | Epoxide_hydrolase             | 2     | 2     | 1     | 2     | 1     | 1     | 1     | 0     | 5     | 0      | 0     | 0     | 1     | 3     | 3    | 10    | 5     | 0  |
| Peptidase_S9             | Prolyl_oligopeptidase_S9      | 3     | 1     | 3     | 4     | 3     | 0     | 2     | 10    | 0     | 1      | 0     | 0     | 0     | 4     | 0    | 0     | 3     | 2  |
| Hydrolase_4              | Proline_iminopeptidase        | 3     | 1     | 3     | 2     | 2     | 1     | 4     | 1     | 1     | 1      | 1     | 1     | 1     | 1     | 1    | 3     | 8     | 0  |
| Dienelactone_hydrolase   | Dienelactone_hydrolase        | 1     | 1     | 0     | 1     | 2     | 1     | 1     | 2     | 1     | 1      | 0     | 0     | 1     | 2     | 1    | 8     | 3     | 0  |

The genome code many PHB depolymerase genes

We could construct a method to analyze a large amount of data efficiently at high speed.

We could identify the candidate of PHBV-degrading Gammaproteobacteria and their PHBV depolymerase genes using meta-omics analysis.

# E4 Meta-omics analysis of plastisphere correlating with biodegradation

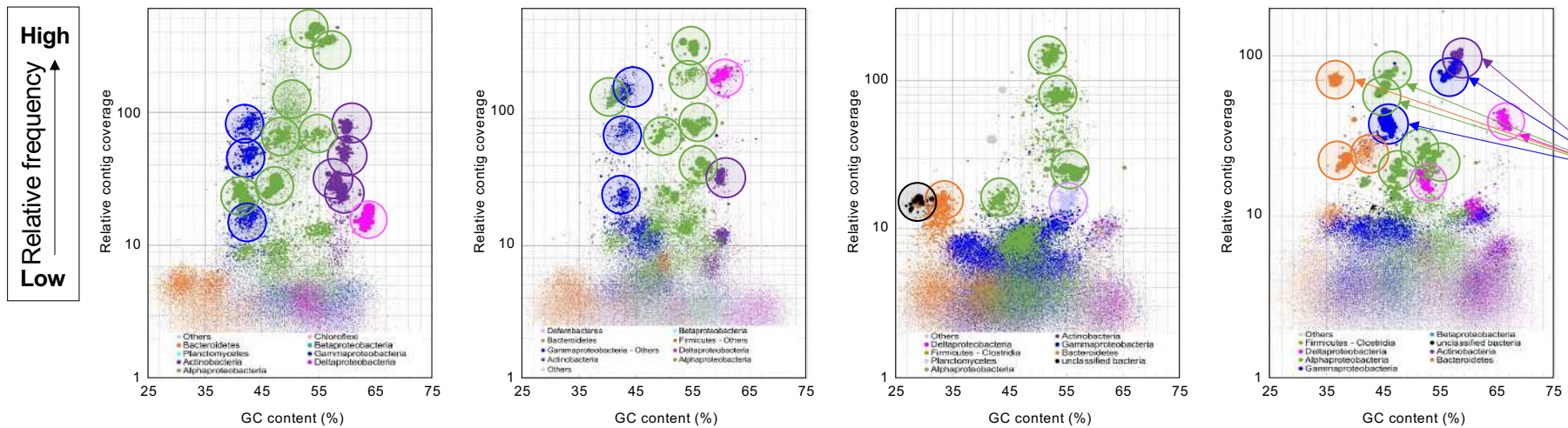


Misaki (BMS)

Hatsushima (BHT)

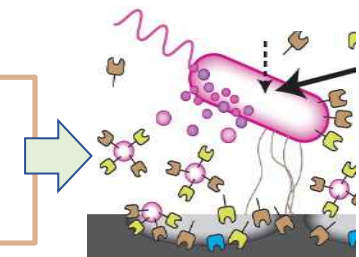
KEO (AKR)

Myojin knoll (BMJ)



Genome of dominant microbes  
(Metagenome-assembled  
genomes, MAGs)

- ✓ Different and diverse plastisphere microbiomes formed on a marine biodegradable plastic at different sea area.
- ✓ Genomes (MAGs) of dominant microbes were recovered from the plastisphere metagenomes.
  - ➔ Growth of microbes related to biodegradation of plastic
  - ➔ Toward identification of enzymes for marine biodegradation



E4

# In vitro biodegradation tests of novel materials

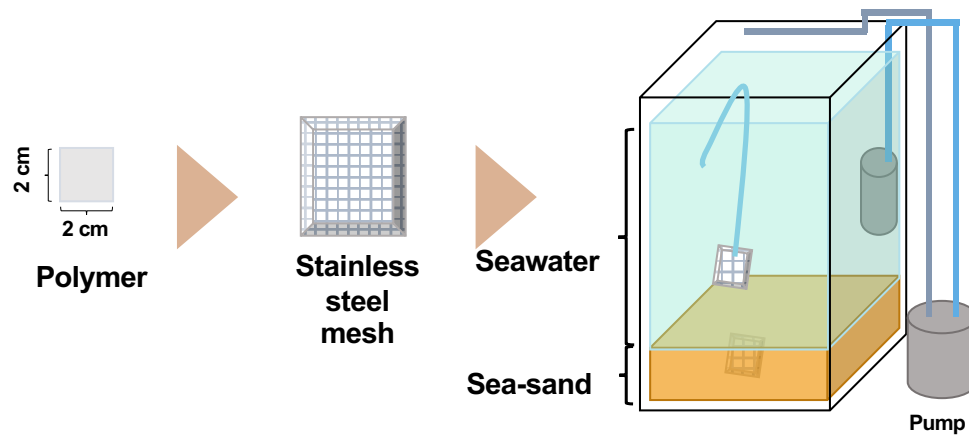


$$\text{Biodegradability}\% = \frac{O_2}{ThOD} \times 100$$

$O_2$ : Biological oxygen demand (BOD) used for catabolism of compounds

**ThOD**: Theoretical oxygen demand

## BOD biodegradation testing



## Tank experiment

- Weight loss
- Morphology of surface
- Mechanical properties
- Plasticsphere analysis

# E4 Optimization of *in vitro* test condition

## ① Biofilm development for obtaining plastic degraders

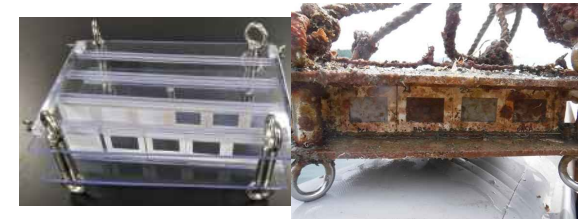
### Laboratory (4 months)

PBSA and PBAT films were put in sea water of 4 sites.



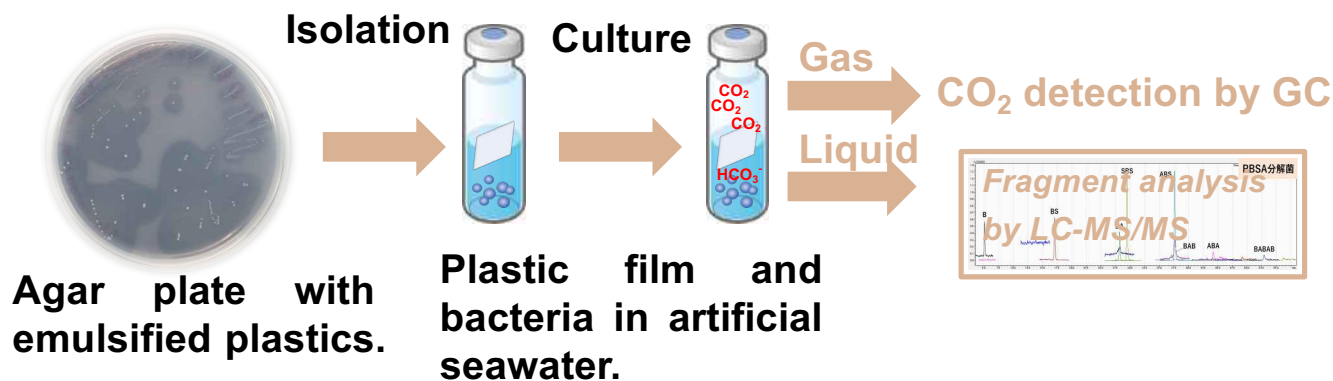
### In the sea (5 months)

(Hiroshima, 10 m-depth)  
Films of PBSA, PBS, PBAT, and PCL with film mounts were fixed in the fixture.



●PBSA/PBAT degraders (230 strains) were isolated from plastisphere.

## ② Degradation property analyses of degraders on each material and degrader selection for rapid test method



Biodegradation properties of bacteria A-F (red: high amount)

| bacteria | clear zone/<br>CO <sub>2</sub> | monomer |   |   | dimer |    | trimer, tetramer |     |     |     |     |      |   |
|----------|--------------------------------|---------|---|---|-------|----|------------------|-----|-----|-----|-----|------|---|
|          |                                | B       | S | A | BS    | BA | BSB              | SBS | SBA | BAB | ABA | BSBS |   |
| A        | +                              | +       | + | + | +     | +  | +                | +   | +   | +   | +   | +    | + |
| B        | +                              | +       | + | + | +     | +  | +                | +   | +   | +   | +   | +    | + |
| C        | +                              | +       | + | + | +     | +  | +                | +   | +   | +   | +   | +    | + |
| D        | +                              | +       | + | + | +     | +  | +                | +   | +   | +   | +   | +    | + |
| E        | +                              | +       | + | + | +     | +  | +                | +   | +   | +   | +   | +    | + |
| F        | +                              | +       | + | + | +     | +  | +                | +   | +   | +   | +   | +    | + |

clear zone development: -, CO<sub>2</sub> production: +

●Degradation property of each degrader has been analyzed by clear zone development, CO<sub>2</sub> production and accumulated degradation products.

# To create a clean earth in the future



**Thank you for your attention.**

